



California State Universities Ocean Studies Institute Dive Safety Manual 2019

FOREWORD

Since 1951 the scientific diving community has endeavored to promote safe, effective diving through self-imposed diver training and education programs. Over the years, manuals for diving safety have been circulated between organizations, revised and modified for local implementation, and have resulted in an enviable safety record.

This document represents the minimal safety standards for scientific diving at the present day. As diving science progresses so must this standard, and it is the responsibility of every member of the Academy to see that it always reflects state of the art, safe diving practice.

American Academy of Underwater Sciences

ACKNOWLEDGEMENTS

The Academy thanks the numerous dedicated individual and organizational members for their contributions and editorial comments in the production of these standards.

Revision History	
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California State Universities Ocean Studies Institute Dive Safety Manual

CONTENTS

Section 1.00 GENERAL POLICY	7
1.10 Scientific Diving Standards	7
1.20 Operational Control	8
1.30 Consequence of Violation of Regulations by Scientific Divers	12
1.40 Consequences of Violation of Regulations by Organizational Members	13
1.50 Record Maintenance	13
Section 2.00 DIVING REGULATIONS	14
2.10 Introduction.	14
2.20 Pre-Dive Procedures	14
2.30 Diving Procedures	15
2.40 Post-Dive Procedures	16
2.50 Emergency Procedures	16
2.60 Flying After Diving or Ascending to Altitude (Over 1000 feet/304 meters)	16
2.70 Record Keeping Requirements	16
Section 3.00 DIVING EQUIPMENT	18
3.10 General Policy.	18
3.20 Equipment	18
3.30 Auxiliary Equipment	19
3.40 Support Equipment	19
3.50 Equipment Maintenance	19
3.60 Equipment Maintenance	20
Section 4.00 SCIENTIFIC DIVER CERTIFICATION AND AUTHORIZATIONS	21
4.10 Prerequisites	21
4.20 Training	22
4.30 Diver Certification and Authorizations.	25
4.40 Depth Authorizations	26
4.50 Maintaining Acrive Status	28
4.60 Revocation of Authorization	28
Section 5.00 MEDICAL STANDARDS	29
5.10 Medical Requirements	29

5.30 Information Provided Examining Physician 22 5.40 Content of Medical Evaluations 22 5.50 Physician's Written Report 22 Volume 2 3 Section 6.00 NITROX DIVING 3 6.10 Requirements for Nitrox Authorization 3 6.20 Minimum Activit to Maintain Authorization 3 6.30 Operational Requirements 3 6.40 Nitrox Diving Equipment 3 Section 7.00 SURFACE SUPPLIED DIVING TECHNOLOGIES 3 7.10 Prerequisites 3 7.20 Surface Supplied Diving 3 7.30 Hookah 3 Section 8.00 STAGED DECOMPRESSION DIVING 3 8.10 Minimum Experience and Training Requirements 3 8.20 Minimum Operational Requirements 3 8.30 Minimum Operational Requirements 3 8.20 Equipment and Gas Quality Requirements 4 9.10 Minimum Experience and Training Requirements 4 9.20 Equipment and Gas Quality Requirements 4 9.30 Minimum Operational Requirements 4 9.30 Minimum Operational Requirements 4 9.30 Minimum Operational Requirements 4 10.40 Satur	5.20 Frequency of Medical Evaluations	29
5.50 Physician's Written Report. 22 Volume 2	5.30 Information Provided Examining Physician	29
Volume 2. 3 Section 6.00 NITROX DIVING. 3 6.10 Requirements for Nitrox Authorization. 3 6.20 Minimum Activit to Maintain Authorization. 3 6.30 Operational Requirements. 3 6.40 Nitrox Diving Equipment. 3 Section 7.00 SURFACE SUPPLIED DIVING TECHNOLOGIES. 3 7.10 Prerequisites. 3 7.20 Surface Supplied Diving. 3 7.30 Hookah. 3 Section 8.00 STAGED DECOMPRESSION DIVING. 3 8.10 Minimum Experience and Training Requirements. 3 8.20 Minimum Operational Requirements. 3 8.20 Minimum Operational Requirements. 3 8.20 Minimum Experience and Training Requirements. 3 9.20 Equipment and Gas Quality Requirements. 4 9.20 Fulpment and Gas Quality Requirements. 4 9.20 Fulpment and Gas Quality Requirements. 4 9.20 Fulpment and Gas Quality Requirements.	5.40 Content of Medical Evaluations	29
Section 6.00 NITROX DIVING 33 6.10 Requirements for Nitrox Authorization 33 6.20 Minimum Activit to Maintain Authorization 3 6.30 Operational Requirements 33 6.40 Nitrox Diving Equipment 33 Section 7.00 SURFACE SUPPLIED DIVING TECHNOLOGIES 33 7.10 Prerequisites 33 7.20 Surface Supplied Diving 33 7.30 Hookah 36 8.10 Minimum Experience and Training Requirements 33 8.20 Minimum Equipment Requirements 34 8.20 Minimum Operational Requirements 34 8.30 Minimum Operational Requirements 34 9.10 Minimum Experience and Training Requirements 44 9.20 Equipment and Gas Quality Requirements 45 9.20 Equipment and Gas Quality Requirements 45 9.30 Minimum Operational Requirements 46 9.20 Equipment and Gas Quality Requirements 47 9.20 Equipment and Gas Quality Requirements 47 40.10 Blue Water Diving 47 10.10 Blue Water Diving 47 10.20 Ice And Polar Diving 47 10.30	5.50 Physician's Written Report	29
6.10 Requirements for Nitrox Authorization 3. 6.20 Minimum Activit to Maintain Authorization 3. 6.30 Operational Requirements 3. 6.40 Nitrox Diving Equipment 3. Section 7.00 SURFACE SUPPLIED DIVING TECHNOLOGIES 3. 7.10 Prerequisites 3. 7.20 Surface Supplied Diving 3. 7.30 Hookah 3. Section 8.00 STAGED DECOMPRESSION DIVING 3. 8.10 Minimum Experience and Training Requirements 3. 8.20 Minimum Equipment Requirements 3. 8.30 Minimum Operational Requirements 3. Section 9.00 MIXED GAS DIVING 4. 9.10 Minimum Experience and Training Requirements 4. 9.20 Equipment and Gas Quality Requirements 4. 9.30 Minimum Operational Requirements 4. 9.30 Minimum Operational Requirements 4. 10.10 Blue Water Diving 4. 10.20 Ice And Polar Diving 4. 10.30 Overhead Environments 4. 10.40 Saturation Diving 4. 10.50 Aquarium Diving 4. 10.00 REBREATHERS 4. 41.10 Definitions and General Information	Volume 2	31
6.10 Requirements for Nitrox Authorization 3. 6.20 Minimum Activit to Maintain Authorization 3. 6.30 Operational Requirements 3. 6.40 Nitrox Diving Equipment 3. Section 7.00 SURFACE SUPPLIED DIVING TECHNOLOGIES 3. 7.10 Prerequisites 3. 7.20 Surface Supplied Diving 3. 7.30 Hookah 3. Section 8.00 STAGED DECOMPRESSION DIVING 3. 8.10 Minimum Experience and Training Requirements 3. 8.20 Minimum Equipment Requirements 3. 8.30 Minimum Operational Requirements 3. Section 9.00 MIXED GAS DIVING 4. 9.10 Minimum Experience and Training Requirements 4. 9.20 Equipment and Gas Quality Requirements 4. 9.30 Minimum Operational Requirements 4. 9.30 Minimum Operational Requirements 4. 10.10 Blue Water Diving 4. 10.20 Ice And Polar Diving 4. 10.30 Overhead Environments 4. 10.40 Saturation Diving 4. 10.50 Aquarium Diving 4. 10.00 REBREATHERS 4. 41.10 Definitions and General Information	Section 6.00 NITROX DIVING	32
6.30 Operational Requirements 3: 6.40 Nitrox Diving Equipment 3: Section 7.00 SURFACE SUPPLIED DIVING TECHNOLOGIES 3. 7.10 Prerequisites 3: 7.20 Surface Supplied Diving 3: 7.30 Hookah 3: Section 8.00 STAGED DECOMPRESSION DIVING 3: 8.10 Minimum Experience and Training Requirements 3: 8.20 Minimum Equipment Requirements 3: 8.30 Minimum Operational Requirements 3: 8.30 Minimum Experience and Training Requirements 4: 9.10 Minimum Experience and Training Requirements 4: 9.20 Equipment and Gas Quality Requirements 4: 9.20 Equipment and Gas Quality Requirements 4: 9.30 Minimum Operational Requirements 4: 9.20 Loguipment and Gas Quality Requirements 4: 10.10 Blue Water Diving 4: 10.20 Ice And Polar Diving 4: 10.30 Overhead Environments 4: 10.40 Saturation Diving 4: 10.50 Aquarium Diving 4: Section 11.00 REBREATHERS 4: 11.10 Definitions and General Information 4: 11.20 Prerequisites f	6.10 Requirements for Nitrox Authorization	32
6.40 Nitrox Diving Equipment 33 Section 7.00 SURFACE SUPPLIED DIVING TECHNOLOGIES 3 7.10 Prerequisites 33 7.20 Surface Supplied Diving 33 7.30 Hookah 36 Section 8.00 STAGED DECOMPRESSION DIVING 33 8.10 Minimum Experience and Training Requirements 36 8.20 Minimum Equipment Requirements 36 8.30 Minimum Operational Requirements 36 Section 9.00 MIXED GAS DIVING 41 9.10 Minimum Experience and Training Requirements 42 9.20 Equipment and Gas Quality Requirements 44 9.30 Minimum Operational Requirements 45 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 46 10.10 Blue Water Diving 47 10.20 Ice And Polar Diving 47 10.30 Overhead Environments 47 10.40 Saturation Diving 48 10.50 Aquarium Diving 48 Section 11.00 REBREATHERS 44 11.10 Definitions and General Information 48 11.20 Prerequisites for use of any rebreather 41 11.30 Training 42 11.40 Equipment Requirements 42	6.20 Minimum Activit to Maintain Authorization	33
Section 7.00 SURFACE SUPPLIED DIVING TECHNOLOGIES 3 7.10 Prerequisites 3 7.20 Surface Supplied Diving 3 7.30 Hookah 3 Section 8.00 STAGED DECOMPRESSION DIVING 3 8.10 Minimum Experience and Training Requirements 3 8.20 Minimum Equipment Requirements 3 8.30 Minimum Operational Requirements 3 Section 9.00 MIXED GAS DIVING 4 9.10 Minimum Experience and Training Requirements 4 9.20 Equipment and Gas Quality Requirements 4 9.20 Equipment and Gas Quality Requirements 4 9.30 Minimum Operational Requirements 4 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 4 10.10 Blue Water Diving 4 10.20 Ice And Polar Diving 4 10.30 Overhead Environments 4 10.50 Aquarium Diving 4 Section 11.00 REBREATHERS 4 11.10 Definitions and General Information 4 11.20 Prerequisites for use of any rebreather 4 11.30 Training 4 11.40 Equipment Requirements 4	6.30 Operational Requirements	33
7.10 Prerequisites 33 7.20 Surface Supplied Diving 33 7.30 Hookah 36 Section 8.00 STAGED DECOMPRESSION DIVING 36 8.10 Minimum Experience and Training Requirements 33 8.20 Minimum Equipment Requirements 33 8.30 Minimum Operational Requirements 34 9.10 Minimum Experience and Training Requirements 44 9.20 Equipment and Gas Quality Requirements 44 9.20 Equipment and Gas Quality Requirements 45 9.30 Minimum Operational Requirements 45 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 46 10.10 Blue Water Diving 42 10.20 Ice And Polar Diving 42 10.30 Overhead Environments 42 10.50 Aquarium Diving 43 10.50 Aquarium Diving 44 10.10 Definitions and General Information 4 11.10 Definitions and General Information 4 11.20 Prerequisites for use of any rebreather 4 11.30 Training 4 11.40 Equipment Requirements 4	6.40 Nitrox Diving Equipment	33
7.20 Surface Supplied Diving. 33 7.30 Hookah 36 Section 8.00 STAGED DECOMPRESSION DIVING 33 8.10 Minimum Experience and Training Requirements 33 8.20 Minimum Equipment Requirements 34 8.30 Minimum Operational Requirements 34 Section 9.00 MIXED GAS DIVING 41 9.10 Minimum Experience and Training Requirements 44 9.20 Equipment and Gas Quality Requirements 45 9.20 Equipment and Gas Quality Requirements 45 9.30 Minimum Operational Requirements 46 9.30 Minimum Operational Requirements 47 10.10 Blue Water Diving 47 10.20 Ice And Polar Diving 47 10.30 Overhead Environments 47 10.40 Saturation Diving 47 10.50 Aquarium Diving 47 10.50 Aquarium Diving 47 10.50 Prerequisites for use of any rebreather 48 11.10 Pefinitions and General Information 49 11.20 Prerequisites for use of any rebreather 40 11.40 Equipment Requirements 40	Section 7.00 SURFACE SUPPLIED DIVING TECHNOLOGIES	35
7.30 Hookah 36 Section 8.00 STAGED DECOMPRESSION DIVING 33 8.10 Minimum Experience and Training Requirements 36 8.20 Minimum Equipment Requirements 36 8.30 Minimum Operational Requirements 37 Section 9.00 MIXED GAS DIVING 41 9.10 Minimum Experience and Training Requirements 42 9.20 Equipment and Gas Quality Requirements 44 9.30 Minimum Operational Requirements 44 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 4 10.10 Blue Water Diving 44 10.20 Ice And Polar Diving 44 10.30 Overhead Environments 44 10.50 Aquarium Diving 45 10.50 Aquarium Diving 46 11.10 Definitions and General Information 46 11.20 Prerequisites for use of any rebreather 47 11.30 Training 42 11.40 Equipment Requirements 44	7.10 Prerequisites	35
Section 8.00 STAGED DECOMPRESSION DIVING 33 8.10 Minimum Experience and Training Requirements 33 8.20 Minimum Equipment Requirements 34 8.30 Minimum Operational Requirements 35 Section 9.00 MIXED GAS DIVING 41 9.10 Minimum Experience and Training Requirements 44 9.20 Equipment and Gas Quality Requirements 44 9.30 Minimum Operational Requirements 44 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 4 10.10 Blue Water Diving 44 10.20 Ice And Polar Diving 44 10.30 Overhead Environments 44 10.50 Aquarium Diving 45 10.50 Aquarium Diving 44 Section 11.00 REBREATHERS 44 11.10 Definitions and General Information 44 11.20 Prerequisites for use of any rebreather 4 11.30 Training 4 11.40 Equipment Requirements 4	7.20 Surface Supplied Diving	35
8.10 Minimum Experience and Training Requirements 33 8.20 Minimum Equipment Requirements 34 8.30 Minimum Operational Requirements 35 Section 9.00 MIXED GAS DIVING 41 9.10 Minimum Experience and Training Requirements 42 9.20 Equipment and Gas Quality Requirements 42 9.30 Minimum Operational Requirements 42 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 44 10.10 Blue Water Diving 42 10.20 Ice And Polar Diving 42 10.30 Overhead Environments 44 10.40 Saturation Diving 45 10.50 Aquarium Diving 45 11.10 Definitions and General Information 44 11.20 Prerequisites for use of any rebreather 44 11.30 Training 45 11.40 Equipment Requirements 46	7.30 Hookah	36
8.20 Minimum Equipment Requirements 33 8.30 Minimum Operational Requirements 33 Section 9.00 MIXED GAS DIVING 41 9.10 Minimum Experience and Training Requirements 4 9.20 Equipment and Gas Quality Requirements 4 9.30 Minimum Operational Requirements 4 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 4 10.10 Blue Water Diving 4 10.20 Ice And Polar Diving 4 10.30 Overhead Environments 4 10.50 Aquarium Diving 4 10.50 Aquarium Diving 4 Section 11.00 REBREATHERS 4 11.10 Definitions and General Information 4 11.20 Prerequisites for use of any rebreather 4 11.30 Training 4 11.40 Equipment Requirements 4	Section 8.00 STAGED DECOMPRESSION DIVING	38
8.30 Minimum Operational Requirements 39 Section 9.00 MIXED GAS DIVING 41 9.10 Minimum Experience and Training Requirements 42 9.20 Equipment and Gas Quality Requirements 44 9.30 Minimum Operational Requirements 42 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 44 10.10 Blue Water Diving 42 10.20 Ice And Polar Diving 43 10.30 Overhead Environments 44 10.50 Aquarium Diving 44 10.50 Aquarium Diving 44 Section 11.00 REBREATHERS 44 11.10 Definitions and General Information 44 11.20 Prerequisites for use of any rebreather 4 11.30 Training 43 11.40 Equipment Requirements 44	8.10 Minimum Experience and Training Requirements	38
Section 9.00 MIXED GAS DIVING 41 9.10 Minimum Experience and Training Requirements 4 9.20 Equipment and Gas Quality Requirements 4 9.30 Minimum Operational Requirements 4 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 4 10.10 Blue Water Diving 4 10.20 Ice And Polar Diving 4 10.30 Overhead Environments 4 10.40 Saturation Diving 4 10.50 Aquarium Diving 4 Section 11.00 REBREATHERS 4 11.10 Definitions and General Information 4 11.20 Prerequisites for use of any rebreather 4 11.30 Training 4 11.40 Equipment Requirements 4	8.20 Minimum Equipment Requirements	39
9.10 Minimum Experience and Training Requirements 4 9.20 Equipment and Gas Quality Requirements 4 9.30 Minimum Operational Requirements 4 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 4 10.10 Blue Water Diving 4 10.20 Ice And Polar Diving 4 10.30 Overhead Environments 4 10.40 Saturation Diving 4 10.50 Aquarium Diving 4 Section 11.00 REBREATHERS 4 11.10 Definitions and General Information 4 11.20 Prerequisites for use of any rebreather 4 11.30 Training 4 11.40 Equipment Requirements 4	8.30 Minimum Operational Requirements	39
9.20 Equipment and Gas Quality Requirements 4 9.30 Minimum Operational Requirements 4 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 4 10.10 Blue Water Diving 4 10.20 Ice And Polar Diving 4 10.30 Overhead Environments 4 10.40 Saturation Diving 4 10.50 Aquarium Diving 4 Section 11.00 REBREATHERS 4 11.10 Definitions and General Information 4 11.20 Prerequisites for use of any rebreather 4 11.30 Training 4 11.40 Equipment Requirements 4	Section 9.00 MIXED GAS DIVING	41
9.30 Minimum Operational Requirements 4 Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 4 10.10 Blue Water Diving 4 10.20 Ice And Polar Diving 4 10.30 Overhead Environments 4 10.40 Saturation Diving 4 10.50 Aquarium Diving 4 Section 11.00 REBREATHERS 4 11.10 Definitions and General Information 4 11.20 Prerequisites for use of any rebreather 4 11.30 Training 4 11.40 Equipment Requirements 4	9.10 Minimum Experience and Training Requirements	42
Section 10.00 SPECIALIZED DIVING ENVIRONMENTS 4 10.10 Blue Water Diving 4 10.20 Ice And Polar Diving 4 10.30 Overhead Environments 4 10.40 Saturation Diving 4 10.50 Aquarium Diving 4 Section 11.00 REBREATHERS 4 11.10 Definitions and General Information 4 11.20 Prerequisites for use of any rebreather 4 11.30 Training 4 11.40 Equipment Requirements 4	9.20 Equipment and Gas Quality Requirements	42
10.10 Blue Water Diving. 4 10.20 Ice And Polar Diving. 4 10.30 Overhead Environments. 4 10.40 Saturation Diving. 4 10.50 Aquarium Diving. 4 Section 11.00 REBREATHERS. 4 11.10 Definitions and General Information. 4 11.20 Prerequisites for use of any rebreather 4 11.30 Training. 4 11.40 Equipment Requirements. 4	9.30 Minimum Operational Requirements	42
10.20 Ice And Polar Diving. 4 10.30 Overhead Environments 4 10.40 Saturation Diving 4 10.50 Aquarium Diving. 4 Section 11.00 REBREATHERS. 4 11.10 Definitions and General Information 4 11.20 Prerequisites for use of any rebreather 4 11.30 Training. 4 11.40 Equipment Requirements 4	Section 10.00 SPECIALIZED DIVING ENVIRONMENTS	43
10.30 Overhead Environments 4 10.40 Saturation Diving 4 10.50 Aquarium Diving 4 Section 11.00 REBREATHERS 4 11.10 Definitions and General Information 4 11.20 Prerequisites for use of any rebreather 4 11.30 Training 4 11.40 Equipment Requirements 4	10.10 Blue Water Diving	43
10.40 Saturation Diving410.50 Aquarium Diving4Section 11.00 REBREATHERS411.10 Definitions and General Information411.20 Prerequisites for use of any rebreather411.30 Training411.40 Equipment Requirements4	10.20 Ice And Polar Diving.	43
10.50 Aquarium Diving	10.30 Overhead Environments	43
Section 11.00 REBREATHERS	10.40 Saturation Diving	43
11.10 Definitions and General Information411.20 Prerequisites for use of any rebreather411.30 Training411.40 Equipment Requirements4	10.50 Aquarium Diving	43
11.20 Prerequisites for use of any rebreather4.11.30 Training	Section 11.00 REBREATHERS	44
11.30 Training	11.10 Definitions and General Information	44
11.40 Equipment Requirements	11.20 Prerequisites for use of any rebreather	45
	11.30 Training	45
11.50 Operational Requirements	11.40 Equipment Requirements	46
	11.50 Operational Requirements	47

Revised 12/2019

11.60 Rebreather Training Section	49
SECTION 12.00 SCIENTIFIC CAVE AND CAVERN DIVING	55
12.10 Definitions	55
12.20 Prerequisites	56
12.30 Training	56
12.40 Equipment Requirements	58
12.50 Operational Requirements and Safety Protocols	59
Appendices 61	
APPENDIX 1 DIVING MEDICAL EXAM OVERVIEW FOR THE EXAMINING PHYSICIAN	
APPENDIX 2 DIVING MEDICAL HISTORY FORMAPPENDIX 2b APPLICANT'S RELEASE OF MEDICAL INFORMATION	
APPENDIX 3 AAUS MEDICAL EVALUATION OF FITNESS FOR SCUBA DIVING REPORT	
APPENDIX 4 RECOMMENDED PHYSICIANS WITH EXPERTISE IN DIVING MEDICINE	
APPENDIX 5 DEFINITION OF TERMS	
APPENDIX 6 AAUS REQUEST FOR DIVING RECIPROCITY FORM	
APPENDIX 7 DIVING EMERGENCY MANAGEMENT PROCEDURES	
APPENDIX 8 RECOMMENDATIONS FOR RESCUE OF A SUBMERGED UNRESPONSIVE	
COMPRESSED-GAS DIVER	
APPENDIX 9 AAUS DIVING INJURY/INCIDENT REPORT FORM	
APPENDIX 10 AAUS STATISTICS COLLECTION CRITERIA AND DEFINITIONS	
APPENDIX 11 CSU/OSI ANNUAL EQUIPMENT SERVICE RECORD	18

Volume 1

Sections 1.00 through 6.00

SECTION 1.00 GENERAL POLICY

1.00 Ocean Studies Institute Scientific Diving Program

Overview

The Ocean Studies Institute (OSI) is a consortium of participating California State University System (CSU) campuses. The mission of OSI is to facilitate research in the marine sciences. OSI is funded through the CSU Office of the Chancellor and run by a board of directors composed of marine scientists and/or administrators from member campuses. OSI maintains a fleet of research vessels staffed by marine science professionals, each linked to the host campus, California State University, Long Beach (CSULB). Maintenance of a Scientific Diving Program, open to participants from member campuses, is an important role of OSI. This OSI Diving Safety Manual is a key element of the scientific diving program in support of marine research.

1.10 Scientific Diving Standards

Purpose

The purpose of these Scientific Diving Standards is to ensure scientific diving is conducted in a manner that will maximize the protection of scientific divers from accidental injury and/or illness, and to set forth standards for training and certification that will allow a working reciprocity between Organizational Members (OMs or OM). Fulfillment of these purposes shall be consistent with the furtherance of research and safety, and facilitation of collaborative opportunities between AAUS OMs.

This *Manual* sets minimum standards for the establishment of American Academy of Underwater Sciences (AAUS) recognized scientific diving programs, the organization for the conduct of these programs, and the basic regulations and procedures for safety in scientific diving operations. It also establishes a framework for reciprocity between AAUS OMs that adhere to these minimum standards.

Historical Perspective

This *Manual* was developed and written by AAUS by compiling the policies set forth in the diving manuals of several university, private, and governmental scientific diving programs. These programs share a common heritage with the scientific diving program at the Scripps Institution of Oceanography (SIO). Adherence to the SIO standards has proven both feasible and effective in protecting the health and safety of scientific divers since 1954.

In 1982, OSHA exempted scientific diving from commercial diving regulations (29CFR1910, Subpart T) under certain conditions that are outlined below. The final guidelines for the exemption became effective in 1985 (Federal Register, Vol. 50, No.6, p.1046). AAUS is recognized by OSHA as the scientific diving standard setting organization.

Scientific Diving Definition

Scientific diving is defined (29CFR1910.402) as:

"Diving performed solely as a necessary part of a scientific, research, or educational activity by employees whose sole purpose for diving is to perform scientific research tasks. Scientific diving does not include performing any tasks usually associated with commercial diving such as: Placing or removing heavy objects underwater; inspection of pipelines and similar objects; construction; demolition; cutting or welding; or the use of explosives."

Scientific Diving Exemption

The two elements that a diving program must contain as defined by OSHA in 29 CFR 1910 Subpart T 1910.401(a)(2)(iv) are:

- a) Diving safety manual which includes at a minimum: Procedures covering all diving operations specific to the program; procedures for emergency care, including recompression and evacuation; and criteria for diver training and certification.
- b) Diving control (safety) board, with the majority of its members being active divers, which must at a minimum have the authority to: Approve and monitor diving projects; review and revise the diving safety manual; assure compliance with the manual; certify the depths to which a diver has been trained; take disciplinary action for unsafe practices; and, assure adherence to the buddy system (a diver is accompanied by and is in continuous contact with another diver in the water) for SCUBA diving.

OSHA has granted an exemption for scientific diving from commercial diving regulations under the following guidelines (Appendix B to 29 CFR 1910 Subpart T):

- The Diving Control Board consists of a majority of active scientific divers and has autonomous and absolute authority over the scientific diving program's operation.
- The purpose of the project using scientific diving is the advancement of science; therefore, information and data resulting from the project are non-proprietary.
- The tasks of a scientific diver are those of an observer and data gatherer. Construction and troubleshooting tasks traditionally associated with commercial diving are not included within scientific diving.
- Scientific divers, based on the nature of their activities, must use scientific expertise in studying the underwater environment and therefore, are scientists or scientists-in-training.

Recommendations for Changes to AAUS Manual

As part of each OMs annual report, recommendations for modifications of this *Manual* must be submitted to AAUS for consideration.

1.20 Operational Control

OSI Auspices Defined

For the purposes of these standards the auspices of the OSI includes any scientific diving operation in which an OSI member is connected because of ownership of any equipment used, locations selected, or relationship with the individual(s) concerned. This includes all cases involving the operations of employees, students or volunteers of the OSI or employees, students or volunteers of auxiliary organizations, where such employees, students or volunteers are acting within the scope of their employment, and the operations of other persons who are engaged in scientific diving of the OSI or are diving as members of an organization recognized by the OSI.

It is the OSI's responsibility to adhere to the AAUS Standards for Scientific Diving Certification and Operation of Scientific Diving Programs. The administration of the local diving program will reside with the OSI's Diving Control Board (DCB).

The regulations herein shall be observed at all locations where scientific diving is conducted.

Organizational Member's Scientific Diving Standards and Safety Manual

Meeting AAUS minimum standards is a requirement for organizational membership in the Academy. Each OM must develop and maintain a diving safety manual that includes wording on how the OM defines specific policies and procedures required for the proper function of a

scientific diving program. The OM manual must address environmental and working conditions unique to the program's operations. The OM diving manual must meet or exceed the AAUS standards.

AAUS standards must be the foundation for the development of an OM's scientific diving safety manual. The order and formatting of the OM manual does not have to conform to the AAUS template. The information contained in Volume 1, Sections 1.00 through 5.00 and the Appendices are required for all manuals. Volume 2, Sections 6.00 through 12.00 are required only when the OM conducts the specifically referenced diving mode or activity. Deviations or significant changes to AAUS minimum standards may require justification before approval is granted by the AAUS Standards Committee.

Diving Control Board

- The Diving Control Board (DCB) shall consist of a majority of active scientific divers. Voting members shall include the Diving Safety Officer, the responsible administrative officer, or designee. Other voting members shall be chosen from among active divers in the OSI diving program, AAUS divers from other programs, CSU risk managers or diving medicine professionals. A chairperson and a secretary may be chosen from the membership of the board according to local procedure.
- Has autonomous and absolute authority over the scientific diving program's operation.
- The DCB must:
 - Establish additional standards, protocols, and operational procedures beyond the AAUS minimums to address OM specific needs and concerns.
 - o Approve and monitor diving projects.
 - o Review and revise the diving safety manual.
 - o Ensure compliance with the diving safety manual.
 - o Approve the depth to which a diver has been authorized to dive.
 - o Take disciplinary action for unsafe practices.
 - o Ensure adherence to the buddy system for scientific diving.
 - Act as the official representative of the OM in matters concerning the scientific diving program.
 - o Act as a board of appeal to consider diver-related problems.
 - o Recommend the issue, reissue, or the revocation of diving authorizations.
 - o Recommend changes in policy and amendments to AAUS and the OM's diving safety manual as the need arises.
 - Establish and/or approve training protocols or standards through which the applicants for authorization can satisfy the requirements of the OM's diving safety manual.
 - o Suspend diving operations considered to be unsafe or unwise.
 - o Establish criteria for equipment selection and use.
 - o Recommend new equipment or techniques.
 - Establish and/or approve facilities for the inspection and maintenance of diving and associated equipment.
 - Ensure that the OM's air station(s) meet air quality standards as described in <u>Section</u>
 3.60.
 - o Periodically review the DSO's performance and program.
 - o Investigate diving incidents within the OM's diving program or violations of the OM's diving safety manual.
- The DCB may delegate operational oversight for portions of the program to the DSO; however,

the DCB may not abdicate responsibility for the safe conduct of the diving program.

Diving Safety Officer

The Diving Safety Officer (DSO) serves as a voting member of the DCB, and should be designated one of the OM Representatives to AAUS. This person should have broad technical expertise and experience in research related diving.

Qualifications:

- 1. Must be an active scuba instructor from an internationally recognized certifying agency.
- 2. Must be appointed by the responsible administrative officer or designee, with the advice and counsel of the DCB.
- 3. Must qualify as a Full Voting Member of AAUS as defined by AAUS Bylaws:
 - (a) Holds a diving certification from a recognized national certifying agency or equivalent, and
 - (b) Has engaged in sustained or successive scientific diving activities during the past two years, or
 - (c) Has completed a course in scientific diving that meets the requirements as specified by the most current edition of the AAUS Standards for Scientific Diving."
- 4. Must attend an AAUS DSO Orientation within one year of accepting a position at an AAUS approved OM, unless he/she has served as a DSO for another current AAUS OM within the last year.

Duties and Responsibilities

- 1. Answers, through the DCB, to the Director of the OSI, for the conduct of the OSI scientific diving program.
- 2. If delegated by the DCB, the routine operational authority for this program rests with the DSO. This oversight includes, but is not limited to: training, diver authorizations, approval of dive plans, maintenance of diving records, and ensuring compliance with this Manual.
- 3. May permit some duties and responsibilities to be carried out by a qualified delegate, with the approval of the DCB.
- 4. Must be guided in the performance of the required duties by the advice of the DCB, but operational responsibility for the conduct of the scientific diving program will be retained by the DSO.
- 5. Must suspend diving operations determined to be unsafe or unwise.

Instructional Personnel

Qualifications

All personnel involved in diving instruction under the auspices of the OSI must be qualified for the type of instruction being given.

Selection

Instructional personnel will be selected by the OSI Board of Directors, or their designee,

who will solicit the advice of the DCB in conducting preliminary screening of applicants for instructional positions.

Lead Diver

For each dive, one individual shall be designated as the Lead Diver who shall be at the dive location during the diving operation. The Lead Diver shall be responsible for:

- Coordination with other known activities in the vicinity that are likely to interfere with diving operations.
- Ensuring all dive team members possess current certification and are qualified for the type of diving operation.
- Planning dives in accordance with Section 2.20
- Ensuring safety and emergency equipment is in working order and at the dive site.
- Briefing dive team members on:
 - a) Dive objectives.
 - b) Unusual hazards or environmental conditions likely to affect the safety of the diving operation.
 - c) Modifications to diving or emergency procedures necessitated by the specific diving operation.
- Suspending diving operations if in their opinion conditions are not safe.
- Reporting to the DSO and DCB any physical problems or adverse physiological effects including symptoms of pressure-related injuries.

Reciprocity and Visiting Scientific Diver

- Two or more AAUS OMs engaged jointly in diving activities, or engaged jointly in the use of diving resources, must designate one of the participating DCBs to govern the joint dive project. However, responsibility for individual divers ultimately resides with the home OM.
- A Scientific Diver from one OM must apply for permission to dive under the auspices of another OM by submitting to the DSO of the host OM a document containing all the information listed in Appendix 6, signed by the DSO or designee of the home DCB.
- A visiting Scientific Diver may be asked to demonstrate their knowledge and skills for the planned dive.
- If a host OM denies a visiting Scientific Diver permission to dive, the host DCB must notify the visiting Scientific Diver and their DCB with an explanation of all reasons for the denial.

Waiver of Requirements

The OSI Diving Control Board may grant a waiver for specific requirements of training, examinations, depth certification, and minimum activity to maintain certification.

1.30 Consequence of Violation of Regulations by Scientific Divers

Divers who fail to comply with the regulations of the OSI's diving safety manual may have their permission to dive revoked or restricted the OSI's DCB.

1.40 Consequences of Violation of Regulations by Organizational Members

Failure to comply with the regulations of this standard may be cause for the revocation or restriction of the organizational member's recognition by AAUS.

1.50 Record Maintenance

The Diving Safety Officer or designee shall maintain permanent records for each Scientific Diver certified. The file shall include evidence of certification level, log sheets, results of current physical examination, reports of disciplinary actions by the OSI Diving Control Board, and other pertinent information deemed necessary.

Availability of Records:

- Medical records shall be available to the attending physician of a diver or former diver when released in writing by the diver.
 - Records and documents required by this standard shall be retained by the OSI and/or the appropriate CSU campus for the following period:
 - 1. Physician's written reports of medical examinations for dive team members 5 years.
 - 2. Diving safety manual current document only.
 - 3. Records of dive 1 year, except 5 years where there has been an incident of pressure-related injury.
 - 4. Pressure-related injury assessment 5 years.
 - 5. Equipment inspection and testing records current entry or tag, or until equipment is withdrawn from service.

1.60 Liability and Insurance

In adopting the policies set forth in this manual, the OSI assumes no liability not otherwise imposed by law. Outside of those University employees diving in the course of their employment (through Workman's Compensation Insurance), each diver is considered to be voluntarily performing activities for which he/she assumes all risks, consequences and potential liability and is not protected by any health or medical policy or program (other than the limited Student Health Services for enrolled students through their respective campus).

SECTION 2.00 DIVING REGULATIONS FOR SCUBA (OPEN CIRCUIT, COMPRESSED AIR)

2.10 Introduction

No person shall engage in diving operations under the auspices of the OSI's diving program unless they hold a current certification issued pursuant to the provisions of this standard.

2.20 Pre-Dive Procedures

Dive Plans

Before conducting any diving operations under the auspices of the OM, a dive plan for the proposed project or dive must be formulated and submitted for approval by the DCB or designee. Dives should be planned around the competency of the least experienced diver. The dive plan (project or individual) should include the following:

- Diving Mode(s) and Gas(es)
- Divers' authorizations
- Approximate number of proposed dives
- Location(s) of proposed dives
- Estimated depth(s) and bottom time(s) anticipated
- Decompression status and repetitive dive plans, if required
- Proposed work, equipment, and boats to be employed
- Any hazardous conditions anticipated
- Emergency Action Plan (Appendix 7)
- In water details of the dive plan should include:
 - o Dive Buddy assignments and tasks
 - o Goals and objectives
 - o Maximum depth(s) and bottom time
 - o Gas management plan
 - o Entry, exit, descent and ascent procedures
 - o Perceived environmental and operational hazards and mitigations
 - o Emergency and diver recall procedures

Diver Responsibility and Refusal to Dive

The decision to dive is that of the diver. The ultimate responsibility for safety rests with the individual diver. It is the diver's responsibility and duty to refuse to dive, without fear of penalty, if in his/her judgment, conditions are unsafe or unfavorable, or if he/she would be violating the precepts of regulations in this Manual.

No dive team member will be required to be exposed to hyperbaric conditions against his/her will.

No dive team member may dive for the duration of any known condition, which is likely to adversely affect the safety and health of the diver or other dive team members.

Pre-dive Safety Checks

- Prior to commencing the dive, the team must assure that every team member is healthy, fit, and trained for the type of dive that is being attempted.
- Scientific divers must conduct a functional check of their diving equipment in the presence of the dive buddy or tender. They must ensure the equipment is functioning properly and suitable for the type of diving operation being conducted.
- Each diver must have the capability of achieving and maintaining positive buoyancy at the surface
- Environmental conditions at the site will be evaluated prior to entering the water.

Pre-dive Briefings

Before conducting any diving operations under the auspices of the OM, the dive team members must be briefed on:

- Dive Buddy assignments and tasks
- Dive objectives.
- Maximum depth(s) and bottom time
- Turn around pressure and required surfacing pressure
- Entry, exit, descent and ascent procedures
- Perceived environmental and operational hazards and mitigations
- Emergency and diver recall procedures

2.30 Diving Procedures

Solo Diving Prohibition

All diving activities must assure adherence to the buddy system for scuba diving. This buddy system is based upon mutual assistance, especially in the case of an emergency.

Decompression Management

- On any given dive, both divers in the buddy pair must follow the most conservative dive profile
- A safety stop performed during the ascent phase of the dive should be conducted on any dive that exceeds 30 feet (9.14m).

Termination of the Dive

Any dive must be terminated while there is still sufficient cylinder pressure to permit the diver to safely reach the surface, including decompression time, or to safely reach an additional air source at the decompression station.

It is the responsibility of the diver to terminate the dive that he/she considers unsafe, without fear of reprisal, in a way that does not compromise the safety of another diver already in the water.

Emergencies and Deviations from Regulations

Any diver may deviate from the requirements of this manual to the extent necessary to prevent or minimize a situation that is likely to cause death, serious physical harm, or major environmental damage. A written report of such actions must be submitted to the Diving Control Board explaining the circumstances and justifications.

2.40 Post-Dive Procedures

Post-Dive Safety Checks

After the completion of a dive, each diver must report any physical problems, symptoms of decompression sickness, or equipment malfunctions to the Lead Diver, DSO, and/or DCB.

2.50 Emergency Procedures

OSI emergency procedures are indicated in Appendix 7 on page 81 of this manual. Procedures shall be developed as appropriate for each dive/location/condition and must include procedures for emergency care, recompression and evacuation. The procedures shall be immediately available in written form to all divers and dive support personnel. The procedures shall also be reviewed at the dive site during the pre-dive briefing.

2.60 Flying After Diving or Ascending to Altitude (Over 1000 feet)

Following a Single No-Decompression Dive: Divers should have a minimum preflight surface interval of 12 hours.

Following Multiple Dives per Day or Multiple Days of Diving: Divers should have a minimum preflight surface interval of 18 hours.

Following Dives Requiring Decompression Stops: Divers should have a minimum preflight surface interval of 24 hours.

Before ascending to Altitude above (1000 feet) by Land Transport: Divers should follow the appropriate guideline for preflight surface intervals unless the decompression procedure used has accounted for the increase in elevation.

2.70 Record Keeping Requirements

Personal Diving Log

Each diver shall log every dive made under the auspices of the OSI program, and is encouraged to log all other dives. Use the online weblogging system, or the standard form available from the DSO or OSI web page. Dive Log sheets shall be submitted to the Diving Safety Officer for review and filing. OSI encourages all divers to submit dive logs on a monthly basis. Quarterly submission of the logs is a program requirement. OSI diving logs shall, at a minimum, contain the following information:

- Name of diver, buddy, and Lead Diver.
- Date, time, and location.
- Diving modes used.
- General nature of diving activities.

- Approximate surface and underwater conditions.
- Maximum depths, bottom time, and surface interval time.
- Diving tables or computers used.
- Detailed report of any near or actual incidents.

Required Incident Reporting

All diving incidents requiring recompression treatment, or resulting in moderate or serious injury, or death shall be reported to the Diving Control Board, the appropriate authority at the applicable CSU campus (Worker's Comp. administrator or Student Health Center as appropriate), The Office of Safety and Risk Management for the applicable CSU Campus, and to the AAUS. AAUS reporting criteria shall be used. The report will specify the circumstances of the incident and the extent of any injuries or illnesses. Information that must be relayed includes a description of the incident and the following information:

- If pressure-related injuries are suspected, or if symptoms are evident, the following additional information shall be recorded and retained by the OSI, with the record of the dive, for a period of 5 years:
 - 1. Complete AAUS Incident Report at http://www.aaus.org.
 - 2. Written descriptive report to include:
 - Name, address, phone numbers of the principal parties involved.
 - Summary of experience of divers involved.
 - Location, description of dive site, and description of conditions that led up to incident.
 - Description of symptoms, including depth and time of onset.
 - Description and results of treatment.
 - Disposition of case.
 - Recommendations to avoid repetition of incident.

The OSI shall investigate and document any incident of pressure-related injury and prepare a report that is to be forwarded to AAUS during the annual reporting cycle. This report must first be reviewed and released by the OSI's Diving Control Board.

SECTION 3.00 DIVING EQUIPMENT

3.10 General Policy

All equipment must meet standards as determined by the DSO and the DCB. All equipment must be regularly examined by the person using it and serviced according to manufacturer recommendations. Equipment that is subjected to extreme usage under adverse conditions should require more frequent testing and maintenance.

3.20 Equipment

Regulators and Gauges

- Scuba regulators and gauges must be inspected and tested prior to each use and serviced, at a minimum, according to manufacturer's recommendations
- Standard open circuit (OC) regulator configuration is:
 - A first stage
 - Primary 2nd stage
 - o Back up 2nd stage
 - Submersible Pressure Gauge (SPG)
 - o Inflator hose for a Buoyancy Compensator Device
- A Full Face Mask may be used in place of the primary 2nd stage according to manufacturer's recommendations

Equipment for Determination of Decompression Status

- Each member of the buddy team must have an underwater timing device and depth indicator, or dive computer
- If dive tables are being used a set must be available at the dive location
- If a dive computer is used the diver must use the same computer used on repetitive dives.
- In an aquarium or other manmade structure of a known maximum obtainable depth:
 - A depth indicator is not required, except when a diver's decompression status must be taken into consideration on repetitive dives.
 - o Only one buddy must be equipped with a timing device.
 - o The maximum obtainable depth of the aquarium must be used as the diving depth.

Scuba Cylinders

- Scuba cylinders must be designed, constructed, and maintained in accordance with the applicable provisions of the Unfired Pressure Vessel Safety Orders.
- Scuba cylinders must be hydrostatically tested in accordance with DOT standards.
- Scuba cylinders must have an internal and external inspection at intervals not to exceed 12 months.
- Scuba cylinder valves shall be functionally tested at intervals not to exceed 12 months.

Buoyancy Compensation Devices (BCD)

- Each diver must have the capability of achieving and maintaining neutral buoyancy underwater and positive buoyancy at the surface.
- BCDs, dry suits, or other variable volume buoyancy compensation devices must be equipped with an exhaust valve.
- These devices must be functionally inspected and tested at intervals not to exceed 12 months

• BCDs, dry suits, or other variable volume buoyancy compensation devices must not be used as a lifting device in lieu of lift bags.

3.30 Auxiliary Equipment

Hand held underwater power tools

- Electrical tools and equipment used underwater shall be specifically approved for this purpose.
- Electrical tools and equipment supplied with power from the surface shall be de-energized before being placed into or retrieved from the water.
- Hand held power tools shall not be supplied with power from the dive location until requested by the diver.

3.40 Support Equipment

First aid supplies

• A first aid kit and emergency oxygen shall be available.

Diver's Flag

• A diver's flag shall be displayed prominently whenever diving is conducted under circumstances where required or where water traffic is probable.

Compressor Systems - OSI Controlled

The following will be considered in design and location of compressor systems:

- Low-pressure compressors used to supply air to the diver if equipped with a volume tank shall have a check valve on the inlet side, a relief valve, and a drain valve.
- Compressed air systems over 500 psig shall have slow-opening shut-off valves.
- All air compressor intakes shall be located away from areas containing exhaust or other contaminants.

3.50 Equipment Maintenance

Record Keeping

Each equipment modification, repair, test, calibration, or maintenance service shall be logged, including the date and nature of work performed, serial number of the item, and the name of the person performing the work for the following equipment:

- Regulators
- Submersible pressure gauges
- Depth gauges
- Scuba cylinders
- Cylinder valves
- Diving helmets
- Submersible breathing masks
- Compressors
- Gas control panels
- Air storage cylinders
- Air filtration systems
- Analytical instruments
- Buoyancy control devices
- Dry suits

Compressor Operation and Air Test Records

Gas analyses and air tests shall be performed on OSI-controlled breathing air compressor at regular intervals of no more than 100 hours of operation or 6 months, whichever occurs first. The results of these tests shall be entered in a formal log and be maintained.

A log shall be maintained showing operation, repair, overhaul, filter maintenance, and temperature adjustment for each compressor.

3.60 Air Quality Standards

Breathing air for scuba shall meet the following specifications as set forth by the Compressed Gas Association (CGA Pamphlet G-7.1).

CGA Grade E		
Component	Maximum	
Oxygen	20 - 22%/v	
Carbon Monoxide	10 PPM/v	
Carbon Dioxide	1000 PPM/v	
Condensed Hydrocarbons	5 mg/m3	
Total Hydrocarbons as Methane	25 PPM/v	
Water Vapor ppm	(2)	
Objectionable Odors	None	

For breathing air used in conjunction with self-contained breathing apparatus in extreme cold where moisture can condense and freeze, causing the breathing apparatus to malfunction, a dew point not to exceed -50°F (63 pm v/v) or 10 degrees lower than the coldest temperature expected in the area is required.

Remote Operations

For remote site operations using gas sources not controlled by the OM, every effort should be made to verify breathing gas meets the requirements of this standard. If CGA Grade E gas is not verifiable, the DCB must develop a protocol to mitigate risk to the diver.

SECTION 4.00 SCIENTIFIC DIVER CERTIFICATION AND AUTHORIZATIONS

This section describes the training and performance standards for AAUS Scientific Divers and represent the minimum required level of knowledge and skills presented in a generalized format. Individual diving programs are encouraged to expand upon and augment these requirements, develop or utilize appropriate educational materials, and optimize instructional programs to suit and reflect their specific needs.

4.10 Prerequisites

Administrative

The candidate must complete all administrative and legal documentation required by the OM.

Entry Level Diver Certification

The candidate must, at minimum, show documented proof of Diver Certification or equivalent from an internationally recognized training agency. OMs who wish to train and certify entry level divers may do so under the standards of the most current version of the RSTC/WRSTC and/or ISO entry-level diver standards. Entry level diver training is a prerequisite to scientific diver training and therefore no part of entry level training may be counted in any way toward scientific diver training.

- ¹ "Minimum Course Content for Open Water Diver Certification"- World Recreational Scuba Training Council (WRSTC), www.wrstc.com.
- ² "Safety related minimum requirements for the training of recreational scuba divers -- Part 2: Level 2 -- Autonomous diver". ISO 24801-2:2007- International Organization for Standardization (ISO) www.iso.org.

Medical Examination

The candidate must be medically qualified for diving as described in <u>Section 5.0</u> and <u>Appendices 1</u>-4 of this Manual. AAUS medical standards may not be waived.

Swimming/Watermanship Evaluation

The candidate must demonstrate the following in the presence of the DSO or designee. All tests are to be performed without swim aids. However, where exposure protection is needed, the candidate must be appropriately weighted to provide for neutral buoyancy.

- a) Swim underwater for a distance of 25 yards (23 meters) without surfacing.
- b) Swim 400 yards (366 meters) in less than 12 minutes.
- c) Tread water for 10 minutes, or 2 minutes without the use of hands.
- d) Transport a passive person of equal size a distance of 25 yards (23 meters) in the water.

4.20 Training

The candidate must successfully complete prerequisites, theoretical aspects, practical training, and examinations for a minimum cumulative time of 100 hours and a minimum of 12 open water dives. Theoretical aspects must include principles and activities appropriate to the intended area of scientific study. Formats for meeting the 100 hour training requirement include OM developed formalized training course, or a combination of formalized and on the job training.

When a diver's resume provides clear evidence of significant scientific diving experience, the diver can be given credit for meeting portions of the 100 hour course requirements. The DCB will identify specific overlap between on-the-job training, previous scientific diving training/experience and course requirements, and then determine how potential deficiencies will be resolved. However, OMs cannot "test-out" divers, regardless of experience, when they have no previous experience in scientific diving.

Any candidate who does not convince the DCB, through the DSO, that they possess the necessary judgment, under diving conditions, for the safety of the diver and his/her buddy, may be denied OM scientific diving privileges.

Theoretical Training / Knowledge Development		
Required Topics:	Suggested Topics:	
 Basic Life Support (BLS): Adult CPR, use of an AED, and accident management Basic First Aid First aid for Diving Accidents: first aid for hazardous marine life injuries, recognition of DCS and AGE, on-site neurological exam, and emergency oxygen administration 	 Specific Dive Modes (methods of gas delivery) Open Circuit Hookah Surface Supplied diving Rebreathers (closed and/or semi-closed) 	
To include procedures relevant to OM specific protocols. (See water skills below) Scientific Method	 Specialized Breathing Gas Nitrox Mixed Gas Small Boat Operation 	
Data Gathering Techniques (Only items specific to area of study required) Transects and Quadrats Mapping Coring Photography Tagging Collecting Animal Handling Archaeology Common Biota Organism Identification	 Specialized Environments and Conditions Blue Water Diving Altitude Ice and Polar Diving (Cold Water Diving) Zero Visibility Diving Polluted Water Diving Saturation Diving Decompression Diving Overhead Environments Aquarium Diving Night Diving Kelp Diving Strong Current Diving 	

 Behavior Ecology Site Selection, Location, and Re-location Specialized Data Gathering Equipment Required Topics:	 Potential Entanglement/Entrapment Live boating Suggested Topics:
Navigation HazMat Training • HP Cylinders	HazMat Training Chemical Hygiene, Laboratory Safety (Use of Chemicals)
Decompression Management Tools	 Specialized Diving Equipment Full face mask Dry Suit Communications Dive Propulsion Vehicle (DPV) SMBs/Lift Bags Line Reels
Dive Physics (Beyond entry level scuba) Dive Physiology (Beyond entry level scuba) Dive Environments Decompression Theory and its Application	Other Topics and Techniques as Determined by the DCB

Practical 7	Fraining / Skill Development
Confined Water	At the completion of training, the trainee must satisfy the DSO or DCB-approved designee of their ability to perform the following, as a minimum, in a pool or in sheltered water:
	 Enter water fully equipped for diving Clear fully flooded face mask Demonstrate air sharing and ascent using an alternate air source, as both donor and recipient, with and without a face mask Demonstrate buddy breathing as both donor and recipient, with and without a face mask Demonstrate understanding of underwater signs and signals Demonstrate ability to remove and replace equipment while submerged Demonstrate acceptable watermanship skills for anticipated scientific diving conditions
Open	The trainee must satisfy the DSO, or DCB-approved designee, of their ability to perform at
Water	least the following in open water:

Skills

- Surface dive to a depth of 10 feet (3 meters) without scuba*
- Enter and exit water while wearing scuba gear* ^^
- Kick on the surface 400 yards (366 meters) while wearing scuba gear, but not breathing from the scuba unit*
- Demonstrate proficiency in air sharing ascent as both donor and receiver*
- Demonstrate the ability to maneuver efficiently in the environment, at and below the surface* ^^
- Complete a simulated emergency swimming ascent*
- Demonstrate clearing of mask and regulator while submerged*
- Underwater communications^^
- Demonstrate ability to achieve and maintain neutral buoyancy while submerged*
- Demonstrate techniques of self-rescue and buddy rescue*
- Navigate underwater ^
- Plan and execute a dive^
- Demonstrate judgment adequate for safe scientific diving* ^^

Rescue Skills:

- Rescue from depth and transport 25 yards (23 meters), as a diver, a passive simulated victim of an accident: surface diver, establish buoyancy, stabilize victim
- Demonstrate simulated in-water mouth-to-mouth resuscitation
- Removal of victim from water to shore or boat
- Stressed and panicked diver scenarios
- Recommendations For Rescue Of A Submerged Unresponsive Compressed-Gas Diver – Appendix 9

Successfully complete a minimum of one checkout dive and at least eleven additional open water dives in a variety of dive sites, for a cumulative surface to surface time of 6 hours. Dives following the checkout dive(s) may be supervised by an active Scientific Diver holding the necessary depth authorization experienced in the type of diving planned, and with the knowledge and permission of the DSO

The eleven dives (minimum) following the initial checkout dive may be conducted over a variety of depth ranges as specified by the OM DCB. Depth progression must proceed shallower to deeper after acceptable skills and judgement have been demonstrated, and are not to exceed 100 feet (30 m) during the initial 12 dive cycle

- * Checkout dive element
- ^^ Evaluated on all dives
- ^ Evaluated at some point during the training cycle

Examinatio	ns
Equipment	 The trainee will be subject to examination/review of: Personal diving equipment Task specific equipment Function and manipulation of decompression computer to be employed by the diver (if applicable)
Written Exams	 (if applicable) The trainee must pass a written examination reviewed and approved by the OM DCB that demonstrates knowledge of at least the following: Function, care, use, and maintenance of diving equipment Advanced physics and physiology of diving Diving regulations Applicable diving environments Emergency procedures for OM-specific dive mode(s) and environments, including buoyant ascent and ascent by air sharing Currently accepted decompression theory and procedures Proper use of dive tables Hazards of breath-hold diving and ascents Planning and supervision of diving operations Navigation Diving hazards & mitigations
	 Cause, symptoms, treatment, and prevention of the following: near drowning, air embolism, hypercapnia, squeezes, oxygen toxicity, nitrogen narcosis, exhaustion and panic, respiratory fatigue, motion sickness, decompression sickness, hypothermia, and hypoxia/anoxia Applicable theoretical training and knowledge development from the Required and Suggested Topics (above)

4.30 Diver Certification and Authorizations

The OSI requires that no person shall engage in scientific diving unless that person is authorized pursuant to the provisions of this manual. The following are considered minimal standards for a scientific diver certification and authorizations.

Diver-In-Training (DIT) Authorization

This is an authorization to dive, usable only while it is current and for the purpose intended. This authorization signifies that a diver has completed and been certified as at least an entry level diver through an internationally recognized certifying agency and has the knowledge skills and experience necessary to commence and continue training as a scientific diver under supervision, as approved by the DCB. DIT status must only be used when the diver is on his/her way to becoming certified as a scientific diver. While it is recommended for DIT's to have hands-on scientific diver experience during their training, the DIT status is intended to be a temporary authorization, not a substitute for Scientific Diver Certification.

Scientific Diver Certification

Signifies a diver has completed all requirements in <u>Section 4.20</u> and is certified by the AAUS OM to engage in scientific diving without supervision, as approved by the DCB through the DSO. Submission of documents and participation in aptitude examinations does not automatically result in certification. To be certified, the applicant must demonstrate to the DCB, through the DSO, that s/he is sufficiently

skilled and proficient, and possess the necessary judgement for their safety and/or that of the dive team. Scientific Diver Certification is only active when required authorizations are in place and current.

Scientific Aquarium Diver Certification

Scientific Aquarium Diver is a certification authorizing the diver to participate in scientific diving solely in the aquarium environment.

All requirements set forth for Scientific Diver certification must apply, except follows:

- Practical training must include at least 12 supervised aquarium dives for a cumulative bottom time of 6 hours.
- Training requirements for navigation and 400-yard (366-meter) surface swim in scuba gear may be waived at the discretion of the DCB.

Temporary Diver Authorization

Only a diver not under the auspices of an AAUS OM may be granted a Temporary Diver Authorization. The individual in question must demonstrate proficiency in diving and can contribute measurably to a planned dive. A Temporary Diver Authorization constitutes a waiver of selected requirements of Section 4.0 and is valid only for a limited time, as approved by the DCB. A Temporary Diver Authorization must be restricted to the planned diving operation and must comply with all other policies, regulations, and standards of this Manual, including medical requirements. This authorization is not to be utilized as a repeated mechanism to circumvent existing standards set forth in this Manual.

4.40 Depth Authorizations

Depth Ratings and Progression to Next Depth Level

Indicates the maximum depth in which a diver can conduct science and may supervise other divers holding a lesser depth authorization. A scientific diver requires a valid depth authorization to be considered active.

A diver may be authorized to the next depth level after successfully completing the requirements for that level. A diver may exceed his/her depth authorization when accompanied and supervised by a dive buddy holding a depth authorization greater or equal to the intended depth. Dives must be planned and executed with the permission of the DCB or designee.

In the event a diver within the OM does not hold an authorization at the desired next level, the DCB may authorize a required progression or procedure for a diver to attain a deeper authorization. If local conditions do not conform to traditional AAUS depth progressions, the DCB may devise a reasonable accommodation. However, the total number of dives to obtain a given depth authorization must follow the cumulative number of dives listed below.

- a) Authorization to 30 Foot Depth Initial science diver depth authorization, approved upon the successful completion of training listed in <u>Section 4.00</u>. Cumulative minimum supervised dives: 12.
- b) Authorization to 60 Foot Depth A diver holding a 30-foot authorization may be authorized to a depth of 60 feet after successfully completing and logging 12 supervised dives to depths between 31 and 60 feet under supervision of a diver authorized by the DCB, for a minimum total time of 4 hours. Cumulative minimum supervised dives: 24.
- c) Authorization to 80 Foot Depth A diver holding a 60-foot authorization may be authorized to a depth of 80 feet after successfully completing and logging 6 supervised dives to depths between

- 61 and 80 feet under supervision of a diver authorized by the DCB, for a minimum total time of 4 hours. Cumulative minimum supervised dives: 30.
- d) Authorization to 100 Foot Depth A diver holding a 80-foot authorization may be authorized to a depth of 100 feet after successfully completing and logging 6 supervised dives to depths between 81 and 100 feet under supervision of a dive buddy authorized by the DCB. The diver must also demonstrate proficiency in the use of the appropriate decompression profiling method. Cumulative minimum supervised dives: 36.
- e) Authorization to 130 Foot Depth A diver holding a 100-foot authorization may be authorized to a depth of 130 feet after successfully completing and logging 6 supervised dives to depths between 100 and 130 feet under supervision of a dive buddy authorized by the DCB. The diver must also demonstrate proficiency in the use of the appropriate decompression profiling method. Cumulative minimum supervised dives: 42.
- f) Authorization to 150 Foot Depth A diver holding a 130-foot authorization may be authorized to a depth of 150 feet after successfully completing and logging 6 supervised dives to depths between 130 and 150 feet under supervision of a dive buddy authorized by the DCB. The diver must also demonstrate knowledge of the special problems of deep diving and of special safety requirements. Cumulative minimum supervised dives: 48.
- g) Authorization to 190 Foot Depth A diver holding a 150-foot authorization may be authorized to a depth of 190 feet after successfully completing and logging 6 dives to depths between 150 and 190 feet under supervision of a dive buddy authorized by the DCB. The diver must also demonstrate knowledge of the special problems of deep diving and of special safety requirements. Cumulative minimum supervised dives: 54.

Diving on air is not permitted beyond a depth of 190 feet. Dives beyond 190 feet require the use of mixed gas.

- h) Authorization to 250 Foot Depth A diver holding a 190-foot authorization may be authorized to a depth of 250 feet after successfully completing and logging 6 supervised dives to depths between 190 and 250 feet under supervision of a dive buddy authorized by the DCB. The diver must also demonstrate knowledge of the special problems of deep diving and of special safety requirements.
- i) Authorization to 300 Foot Depth A diver holding a 250-foot authorization may be authorized to a depth of 300 feet after successfully completing and logging 6 supervised dives to depths between 200 and 250 feet under supervision of dive buddy authorized by the DCB. The diver must also demonstrate knowledge of the special problems of deep diving and of special safety requirements.
- j) Authorizations deeper than 300 Feet Depth authorizations deeper than 300 feet progress in 50-foot depth/6 dive increments. A diver holding a 300 foot, or deeper authorization may be authorized to the next depth authorization increment after successfully completing and logging 6 supervised dives under supervision of dive buddy authorized by the DCB. The diver must also demonstrate knowledge of the special problems of deep diving and of special safety requirements.

4.50 Maintaining Active Status

Minimum Activity to Maintain Authorizations

During any 12-month period, each scientific diver must log a minimum of 12 scientific, scientific training, or proficiency dives. At least one dive must be logged near the maximum depth, as defined by the DCB, of the diver's authorization during each 6-month period. Divers authorized to 150 feet or deeper may satisfy these requirements with dives to 130 feet or deeper. Failure to meet these requirements will result in revocation or restriction of authorization by the DSO under procedures established by the DCB.

Requalification of Authorization

Once the initial requirements of Section 4.00 are met, divers whose depth authorization has lapsed due to lack of activity may be requalified by procedures adopted by the DCB.

Medical Examination

All scientific divers must pass a medical examination at the intervals specified in <u>Section 5.0</u>. A medically cleared diver experiencing any Conditions Which May Disqualify Candidates From Diving (Appendix 1) must receive clearance to return to diving from a physician before resuming diving activities. This medical examination requirement cannot be waived for any diver.

Emergency Care Training

The scientific diver must hold current training in the following:

- Basic Life Support (BLS): Adult CPR, use of an AED, and accident management
- Basic First Aid
- First aid for Diving Accidents: first aid for hazardous marine life injuries, recognition of DCS and AGE, on-site neurological exam, and emergency oxygen administration

4.60 Revocation of Authorization

An individual's scientific diver certification can be restricted or revoked for cause by the DCB. Authorizations associated with an individual's scientific diver certification may be restricted or suspended for cause by the DSO. Restrictions or suspensions issued by the DSO may be rescinded by the DSO; these issues will be reported to and reviewed by the DCB, and the outcomes or actions resulting from this review will be documented in the diver's OM record. Violations of regulations set forth in this Manual or other governmental subdivisions not in conflict with this Manual, or demonstration of poor judgement, may be considered cause. The DCB or designee must inform the diver in writing of the reason(s) for revocation. The diver will be given the opportunity to present their case in writing to the DCB for reconsideration. Following revocation, the diver may be reauthorized after complying with conditions the DCB may impose. All such written statements and requests, as identified in this section, are formal documents, and therefore part of the diver's file.

SECTION 5.00 MEDICAL STANDARDS

5.10 Medical Requirements

General

- All medical evaluations required by this *Manual* must be performed by, or under the direction of, a licensed physician of the applicant-diver's choice, preferably one trained in diving/undersea medicine.
- The diver should be free of any chronic disabling disease and any conditions contained in the list of conditions for which restrictions from diving are generally recommended. (Appendix 1)
- The OM must verify that divers have been declared by the examining medical authority to be fit to engage in diving activities.

5.20 Frequency of Medical Evaluations

Medical evaluation must be completed:			
Before Age 40	After age 40 Before Age 60	After Age 60	
Before a diver may begin	Before a diver may begin	Before a diver may begin	
diving, unless an equivalent initial medical evaluation has	diving, unless an equivalent initial medical evaluation has	diving, unless an equivalent initial medical evaluation has	
been given within the preceding	been given within the preceding	been given within the preceding	
5 years	3 years	2 years	
At 5-year intervals	At 3-year intervals	At 2-year intervals	

Clearance to return to diving must be obtained from a healthcare provider following a medically cleared diver experiencing any Conditions Which May Disqualify Candidates From Diving (Appendix 1), or following any major injury or illness, or any condition requiring chronic medication. If the condition is pressure related, the clearance to return to diving must come from a physician trained in diving medicine.

5.30 Information Provided Examining Physician

The OM must provide a copy of the medical evaluation requirements of this *Manual* to the examining physician. (Appendices $\underline{1}$, $\underline{2}$, and $\underline{3}$).

5.40 Content of Medical Evaluations

Medical examinations conducted initially and at the intervals specified in <u>Section 5.20</u> must consist of the following:

- 1. Diving physical examination (<u>Appendix 2</u>). Modifications or omissions of required tests are not permitted
- 2. Applicant agreement for release of medical information to the Diving Safety Officer and the DCB (Appendix 2b)
- 3. Medical history (Appendix 3)

5.50 Physician's Written Report

A Medical Evaluation of Fitness For Scuba Diving Report (or OM equivalent) signed by the
examining physician stating the individual's fitness to dive, including any recommended
restrictions or limitations will be submitted to the OM for the diver's record after the
examination is completed.

- The Medical Evaluation of Fitness For Scuba Diving Report will be reviewed by the DCB or designee and the diver's record and authorizations will be updated accordingly.
- A copy of any physician's written reports will be made available to the individual.

It is the diver's responsibility to provide to the OM a written statement from the examining medical authority listing any restrictions, limitations, or clearances to dive resulting from medical examinations obtained by the individual outside of their normal diving medical examination cycle. These statements will be reviewed by the DCB or designee and the diver's record and authorizations will be updated accordingly.

VOLUME 2

Sections 6.00 through 12.00 Required Only When Conducting Described Diving Activities and Organizational Member Specific Sections

SECTION 6.00 NITROX DIVING

This section describes the requirements for authorization and use of nitrox for Scientific Diving.

6.10 Requirements for Nitrox Authorization

Prior to authorization to use nitrox, the following minimum requirements must be met:

Prerequisites

Only a certified Scientific Diver or DIT diving under the auspices of an OM is eligible for authorization to use nitrox.

Application for authorization to use nitrox must be made to the DCB. Submission of documents and participation in aptitude examinations does not automatically result in authorization to use nitrox. The applicant must convince the DCB through the DSO that they are sufficiently knowledgeable, skilled and proficient in the theory and use of nitrox for diving.

Training

In lieu of writing/promulgating AAUS specific training standards for Nitrox divers, AAUS references the standards for Nitrox diver training as defined by the WRSTC and/or ISO. AAUS programs who wish to train Nitrox divers may do so using one of the following options:

- a) Under the auspices and standards of an internationally recognized diver training agency.
- b) Under the auspices of AAUS using the minimum guidelines presented by the most current version of the RSTC/WRSTC and/or ISO Nitrox diver training standards.

References:

"Minimum Course Content for Enriched Air Nitrox Certification" - World Recreational Scuba Training Council (WRSTC), www.wrstc.com.

"Recreational diving services- Requirements for training programs on enriches air nitrox (EAN) diving". ISO 11107:2009 - International Organization for Standardization (ISO), www.iso.org

Practical Evaluation

- Oxygen analysis of nitrox mixtures.
- Determination of MOD, oxygen partial pressure exposure, and oxygen toxicity time limits, for various nitrox mixtures at various depths.
- Determination of nitrogen-based dive limits status by EAD method using air dive tables, and/or using nitrox dive tables, as approved by the DCB.
- Nitrox dive computer use may be included, as approved by the DCB.
- A minimum of two supervised open water dives using nitrox is required for authorization.

Written Evaluation

- Function, care, use, and maintenance of equipment cleaned for nitrox use.
- Physical and physiological considerations of nitrox diving (eg.: O₂ and CO₂ toxicity)
- Diving regulations, procedures/operations, and dive planning as related to nitrox diving
- Equipment marking and maintenance requirements
- Dive table and/or dive computer usage
- Calculation of: MOD, pO₂, and other aspects of Nitrox diving as required by the DCB

6.20 Minimum Activity to Maintain Authorization

The diver should log at least one nitrox dive per year. Failure to meet the minimum activity level may be cause for restriction or revocation of nitrox authorization.

6.30 Operational Requirements

Oxygen Exposure Limits

- The inspired oxygen partial pressure experienced at depth should not exceed 1.6 ATA.
- The maximum allowable exposure limit should be reduced in cases where cold or strenuous dive conditions, or extended exposure times are expected.

Calculation of Decompression Status

- A set of DCB approved nitrox dive tables should be available at the dive site.
- Dive computers may be used to compute decompression status during nitrox dives. Manufacturers' guidelines and operation instructions should be followed.
- Dive computers capable of pO₂ limit and fO₂ adjustment should be checked by the diver prior to the start each dive to ensure conformity with the mix being used.

Gas Mixture Requirements

- Only nitrox mixtures and mixing methods approved by the DCB may be used.
- OM personnel mixing nitrox must be qualified and approved by the DCB for the method(s) used.
- Oxygen used for mixing nitrox should meet the purity levels for "Medical Grade" (U.S.P.) or "Aviator Grade" standards.
- In addition to the AAUS Air Purity Guidelines outlined in <u>Section 3.60</u>, any air that may come in contact with oxygen concentrations greater than 40% (i.e., during mixing), must also have a hydrocarbon contaminant no greater than .01 mg/m³.
 - o For remote site operations using compressors not controlled by the OM where this is not verifiable, the DCB must develop a protocol to mitigate risk to the diver.

Analysis Verification by User

- Prior to the dive, it is the responsibility of each diver to analyze the oxygen content of his/her scuba cylinder. And acknowledge in writing the following information for each cylinder: fO₂, MOD, cylinder pressure, date of analysis, and user's name.
- Individual dive log reporting forms should report fO₂ of nitrox used, if different than 21%.

6.40 Nitrox Diving Equipment

Required Equipment

All of the designated equipment and stated requirements regarding scuba equipment required in the *AAUS Manual* apply to nitrox operations. Additional minimal equipment necessary for nitrox diving operations includes:

- Labeled SCUBA Cylinders in Accordance with Industry Standards
- Oxygen Analyzers
- Oxygen compatible equipment as applicable

Requirement for Oxygen Service

- All equipment, which during the dive or cylinder filling process is exposed to concentrations greater than 40% oxygen, should be cleaned and maintained for oxygen service.
- Any equipment used with oxygen or mixtures containing over 40% by volume oxygen must be designed and maintained for oxygen service. Oxygen systems over 125 psig must have slow-opening shut-off valves.

Compressor system

- Compressor/filtration system must produce oil-free air, or
- An oil-lubricated compressor placed in service for a nitrox system should be checked for oil and hydrocarbon contamination at least quarterly.

SECTION 7.00 SURFACE SUPPLIED DIVING TECHNOLOGIES

Surface supplied diving technologies include any diving mode in which a diver at depth is supplied with breathing gas from the surface.

7.10 Prerequisites

All surface supplied and hookah divers must be certified scientific divers or divers in training and have completed system specific training as authorized by the OM.

7.20 Surface Supplied Diving

Surface Supply Definition

A mode of diving using open circuit, surface supplied, compressed gas delivered by means of a pressurized umbilical hose. The umbilical generally consists of a gas supply hose, strength member, pneumofathometer hose, and communication line. The umbilical supplies a helmet or full-face mask, often with voice communications.

Procedures

- Each diver must be continuously tended while in the water.
- A diver must be stationed at the underwater point of entry when diving is conducted in enclosed or physically confined spaces.
- Each diving operation must have a primary breathing gas supply sufficient to support divers for the duration of the planned dive including decompression.
- For dives deeper than 100 feet (30 m) or outside the no-decompression limits:
 - o A separate dive team member must tend each diver in the water;
 - o A standby diver must be available while a diver is in the water;
- A diver using Surface Supply may rely on surface personnel to keep the diver's depth, time and diving profile
- Surface supplied air diving must not be conducted at depths deeper than 190 feet (57.9 m).
- The OM DCB is responsible for developing additional operational protocols

Manning Requirements

The minimum number of personnel comprising a surface supplied dive team is three. They consist of: a Designated Person-In-Charge (DPIC), a Diver, and a Tender. Additional dive team members are required when a diving operation or dive site is considered complex, or when the task loading of a dive team member is deemed excessive. It is the OM DCB's responsibility to define when the surface supplied dive team must be expanded beyond the minimum manning requirements.

Equipment

- The diver will wear a positive buckling device on the safety harness to which the umbilical hose will be secured. The attachment must be of sufficient strength to prevent any strain on the helmet/full face mask hose connections and equipment must be configured to allow retrieval of the diver by the surface tender without risk of interrupting air supply to the diver.
- Each diver must be equipped with a diver-carried independent reserve breathing gas supply containing sufficient volume to complete the ascent to the surface, including all required decompression and safety stops.
- Masks and Helmets
 - Surface supplied and mixed gas masks and helmets must have:

- A non-return valve at the attachment point between the mask/helmet and hose which must close readily and positively; and
- An exhaust valve
- Surface-supplied masks and helmets must have a minimum ventilation rate capability of 4.5 actual cubic feet per minute (acfm) at any depth at which they are operated or the capability of maintaining the diver's inspired carbon dioxide partial pressure below 0.02 atmospheres absolute (ATA) when the diver is producing carbon dioxide at the rate of 1.6 standard liters per minute
- Helmets or masks connected directly to the dry suit or other buoyancy-changing equipment must be equipped with an exhaust valve
- Air supplied to the diver must meet the air quality standards outlined in section 3.60

Surface Supplied in Aquariums

- In an aquarium habitat where the maximum depth is known, a pneumofathometer is not required.
- a) The maximum obtainable depth of the aquarium may be used as the diving depth
- b) One tender may line-tend multiple divers, provided the tender is monitoring only one air source, there is mutual assistance between divers, there are no overhead obstructions or entanglements, or other restrictions as defined by the OM DCB.
- The OM DCB is responsible for developing additional operational protocols for surface supplied diving specific to the aquarium environment.

7.30 Hookah

Hookah Definition

Hookah is an open circuit diving mode comprised of a remote gas supply, a long hose, and a standard scuba second stage or full face mask. Hookah is generally used in shallow water (30 feet or less), though the configuration has been used to supply breathing gas from a diving bell, habitat, or submersible/submarine.

Equipment Requirements

- The air supply hose must be rated for a minimum operating pressure of 130psi.
- Air supplied to the hookah diver must meet the air quality standards outlined in section 3.60
- Hookah supply systems must be capable of supplying all divers breathing from the system with sufficient gas for comfortable breathing for the planned depth and workload.
- Hookah system second stage should be capable of being attached to the diver in a way to avoid pulling stress on the second stage mouthpiece and affords easy release if the diver must jettison the regulator and hose.
- An independent reserve breathing gas supplied will be carried by each hookah diver:
 - When the diver does not have direct access to the surface or
 - At depths or distance from alternate breathing gas source determined by the CSU/OSI DCB.

Operational Requirements

- Hookah diving must not be conducted beyond depths or distance from alternate breathing gas source as determined by the DCB.
- A diver's independent reserve breathing gas supply, if worn, must contain sufficient volume to allow the diver(s) to exit to the surface or alternate breathing gas source
- Hookah divers not supported by diving bell, or underwater habitat must not be exposed to dives that require staged decompression.
- The CSU/OSI DCB is responsible for developing additional operational protocols.

Hookah Diving in Aquariums

- In an aquarium habitat where the maximum depth is known and planned for, a depth gauge is not required.
- c) The maximum obtainable depth of the aquarium may be used as the maximum diving depth.
- d) A hookah configured diver may operate without an in-water buddy in an aquarium provided the diver is tended from the surface; has visual, line pull, or voice communication with the tender; the diver carries an independent reserve breathing gas source containing sufficient volume to allow the diver to exit to the surface or alternate breathing gas source; and under other operational conditions as determined by the CSU/OSI DCB.
- e) The CSU/OSI DCB is responsible for developing additional operational protocols for hookah diving specific to the aquarium environment.

SECTION 8.00 STAGED DECOMPRESSION DIVING

Decompression diving is defined as any diving during which the diver cannot perform a direct return to the surface without performing a mandatory decompression stop to allow the release of inert gas from the diver's body.

The following procedures must be observed when conducting dives requiring planned decompression stops.

8.10 Minimum Experience and Training Requirements

Prerequisites

- 1) Scientific Diver qualification according to Section 4.00.
- 2) Minimum of 100 logged dives with experience in the depth range where decompression dives will be conducted.
- 3) Demonstration of the ability to safely plan and conduct dives deeper than 100 feet.
- 4) Nitrox certification/authorization according to AAUS Section 6.00 recommended.

Training

Training must be appropriate for the conditions in which dive operations are to be conducted. Minimum Training must include the following:

- 4. A minimum of 6 hours of classroom training to ensure theoretical knowledge to include: physics and physiology of decompression; decompression planning and procedures; gas management; equipment configurations; decompression method, emergency procedures, and omitted decompression.
- 5. It is recommended that at least one training session be conducted in a pool or sheltered water setting, to cover equipment handling and familiarization, swimming and buoyancy control, to estimate gas consumption rates, and to practice emergency procedures.
- 6. At least 6 open-water training dives simulating/requiring decompression must be conducted, emphasizing planning and execution of required decompression dives, and including practice of emergency procedures.
- 7. Progression to greater depths must be by 6-dive increments at depth intervals as specified in Section 5.50.
- 8. No training dives requiring decompression shall be conducted until the diver has demonstrated acceptable skills under simulated conditions.
- 9. The following are the minimum skills the diver must demonstrate proficiently during dives simulating and requiring decompression:
 - Buoyancy control
 - Proper ascent rate
 - Proper depth control
 - Equipment manipulation
 - Stage/decompression bottle use as pertinent to planned diving operation
 - Buddy skills
 - Gas management
 - Time management

- Task loading
- Emergency skills
- 10. Divers must demonstrate to the satisfaction of the DSO or the DSO's qualified designee proficiency in planning and executing required decompression dives appropriate to the conditions in which diving operations are to be conducted.
- 11. Upon completion of training, the diver must be authorized to conduct required decompression dives with DSO approval.

8.20 Minimum Equipment Requirements

- 12. Valve and regulator systems for primary (bottom) gas supplies must be configured in a redundant manner that allows continuous breathing gas delivery in the event of failure of any one component of the regulator/valve system.
- 13. Cylinders with volume and configuration adequate for planned diving operations
- 14. One of the second stages on the primary gas supply must be configured with a hose of adequate length to facilitate effective emergency gas sharing in the intended environment.
- 15. Minimum dive equipment should include:
 - a) Diver location devices adequate for the planned diving operations and environment.
 - b) Compass
- 16. Redundancy in the following components may be required at the discretion of the DCB:
 - a) Decompression Schedules
 - b) Dive Timing Devices
 - c) Depth gauges
 - d) Buoyancy Control Devices
 - e) Cutting devices
 - f) Lift bags and line reels

8.30 Minimum Operational Requirements

- 17. The maximum pO_2 to be used for planning required decompression dives is 1.6 for open circuit. It is recommended that a pO_2 of less than 1.6 be used during bottom exposure.
- 18. Decompression dives may be planned using dive tables, dive computers, and/or PC software approved by the DCB.
- 19. Breathing gases used while performing in-water decompression must contain the same or greater oxygen content as that used during the bottom phase of the dive.
- 20. The dive team prior to each dive must review emergency decompression procedures appropriate for the planned dive.
- 21. If breathing gas mixtures other than air are used for required decompression, their use must be in accordance with those regulations set forth in the appropriate sections of this Manual.
- 22. Use of additional nitrox and/or high-oxygen fraction decompression mixtures as travel and

- decompression gases to decrease decompression obligations is recommended.
- 23. Use of alternate inert gas mixtures to limit narcosis is recommended for depths greater than 150 feet.
- 24. The maximum depth for required decompression using air as the bottom gas is 190 feet.
- 25. If a period of more than 6 months has elapsed since the last decompression dive, a series of progressive workup dives defined by the DCB to return the diver(s) to proficiency status prior to the start of project diving operations are required.
- 26. Mission specific workup dives are recommended.

SECTION 9.00 MIXED GAS DIVING

Mixed gas diving is defined as dives done while breathing gas mixes containing proportions greater than 1% by volume of an inert gas other than nitrogen.

9.10 Minimum Experience and Training Requirements

Prerequisites

- 1. Nitrox authorization (Section 6.00).
- 2. If the intended use entails required decompression stops, divers will be previously authorized in decompression diving (Section 8.00).
- 3. Divers must demonstrate to the DCB's satisfaction skills, knowledge, and attitude appropriate for training in the safe use of mixed gases.

Classroom training including

- 27. Review of topics and issues previously outlined in nitrox and required decompression diving training as pertinent to the planned operations
- 28. The use of helium or other inert gases, and the use of multiple decompression gases
- 29. Equipment configurations
- 30. Mixed gas decompression planning
- 31. Gas management planning
- 32. Thermal considerations
- 33. END determination
- 34. Mission planning and logistics
- 35. Emergency procedures
- 36. Mixed gas production methods
- 37. Methods of gas handling and cylinder filling
- 38. Oxygen exposure management
- 39. Gas analysis
- 40. Mixed gas physics and physiology

Practical Training

- 41. Confined water session(s) in which divers demonstrate proficiency in required skills and techniques for proposed diving operations.
- 42. A minimum of 6 open water training dives.
- 43. At least one initial dive must be in 130 feet or less to practice equipment handling and emergency procedures.
- 44. Subsequent dives will gradually increase in depth, with a majority of the training dives being

- conducted between 130 feet and the planned operational depth.
- 45. Planned operational depth for initial training dives must not exceed 260 feet.
- 46. Diving operations beyond 260 feet requires additional training dives.

9.20 Equipment and Gas Quality Requirements

- 47. Equipment requirements must be developed and approved by the DCB. Equipment must meet other pertinent requirements set forth elsewhere in this Manual.
- 48. The quality of inert gases used to produce breathing mixtures must be of an acceptable grade for human consumption.

9.30 Minimum Operational Requirements

- 49. All applicable operational requirements for nitrox and decompression diving must be met.
- 50. The maximum pO₂ to be used for planning required open circuit decompression dives is 1.6. It is recommended that a pO₂ of less than 1.6 be used during bottom exposure.
- 51. Divers decompressing on high-oxygen concentration mixtures must closely monitor one another for signs of acute oxygen toxicity.
- 52. If a period of more than 6 months has elapsed since the last decompression dive, a series of progressive workup dives defined by the DCB to return the diver(s) to proficiency status prior to the start of project diving operations are required.
- 53. Mission specific workup dives are recommended.

SECTION 10.00 SPECIALIZED DIVING ENVIRONMENTS

Certain types of diving, some of which are listed below, require equipment or procedures that require training. Supplementary guidelines for these technologies are in development by the AAUS. OM's using these, must have guidelines established by their Diving Control Board. Divers must comply with all scuba diving procedures in this *Manual* unless specified.

10.10 Blue Water Diving

Blue water diving is defined as diving in open water where the bottom is generally greater than 200 feet deep. It requires special training and the use of multiple-tethered diving techniques. Specific guidelines that should be followed are outlined in "Blue Water Diving Guidelines" (California Sea Grant Publ. No. T-CSGCP-014).

10.20 Ice and Polar Diving

Divers planning to dive under ice or in polar conditions should use the following: "PESH-POL_2000.08 Standards for the Conduct of Scientific Diving", National Science Foundation, Division of Polar Programs, 2015.

10.30 Overhead Environments

Overhead environments include water filled Caverns, Caves, Flooded Mines and Ice diving, as well as portions of Sunken Shipwrecks and other manmade structures.

For the purposes of this *Manual*, Ice diving is a specialized overhead environment addressed in <u>Section</u> 10.20 and supplemented by requirements and protocols established by the CSU/OSI DCB.

Cavern, Cave, or Flooded Mine Diving see Section 12

It is the responsibility of the CSU/OSI DCB to establish the requirements and protocol under which diving will be safely conducted in overhead environment portions of sunken shipwrecks and other manmade structures.

10.40 Saturation Diving

If conducting saturation diving operations, divers must comply with the saturation diving guidelines of CSU/OSI.

10.50 Aquarium Diving

An aquarium is an artificial, confined body of water, which is operated by or under the control of an institution and is used for the purposes of specimen exhibit, education, husbandry, or research.

It is recognized that within scientific aquarium diving there are environments and equipment that fall outside the scope of those addressed in this *Manual*. In those circumstances it is the responsibility of the CSU/OSI DCB to establish the requirements and protocol under which diving will be safely conducted.

SECTION 11.00 REBREATHERS

This section defines specific considerations regarding the following issues for the use of rebreathers:

- Training and/or experience verification requirements for authorization
- Equipment requirements
- Operational requirements and additional safety protocols to be used

Application of this standard is in addition to pertinent requirements of all other sections of this *Manual*.

For rebreather dives that also involve staged decompression and/or mixed gas diving, all requirements for each of the relevant diving modes must be met. The DCB reserves the authority to review each application of all specialized diving modes, and include any further requirements deemed necessary beyond those listed here on a case-by-case basis.

No diver shall conduct planned operations using rebreathers without prior review and approval of the DCB

In all cases, trainers must be qualified for the type of instruction to be provided. Training must be conducted by agencies or instructors approved by DSO and DCB.

11.10 Definition

- A. Rebreathers are defined as any device that recycles some or all of the exhaled gas in the breathing loop and returns it to the diver. Rebreathers maintain levels of oxygen and carbon dioxide that support life by metered injection of oxygen and chemical removal of carbon dioxide. These characteristics fundamentally distinguish rebreathers from open-circuit life support systems, in that the breathing gas composition is dynamic rather than fixed.
- B. There are three classes of rebreathers:
 - 1. Oxygen Rebreathers: Oxygen rebreathers recycle breathing gas, consisting of pure oxygen, replenishing the oxygen metabolized by the diver. Oxygen rebreathers are generally the least complicated design but are limited in depth of use due to the physiological limits associated with oxygen toxicity.
 - 2. <u>Semi-Closed Circuit Rebreathers</u>: Semi-closed circuit rebreathers (SCR) recycle the majority of exhaled breathing gas, venting a portion into the water and replenishing it with a constant or variable amount of a single oxygen-enriched gas mixture. Gas addition and venting is balanced against diver metabolism to maintain safe oxygen levels.
 - 3. <u>Closed-Circuit Rebreathers</u>: Closed-circuit mixed gas rebreathers (CCR) recycle all of the exhaled gas. Electronically controlled CCRs (eCCR) replace metabolized oxygen via an electronically controlled valve, governed by oxygen sensors. Manually controlled CCR (mCCR) rely on mechanical oxygen addition and diver monitoring to control oxygen partial pressure (ppO2). Depending on the design, manual oxygen

addition may be available on eCCR units as a diver override, in case of electronic system failure. Systems are equipped with two cylinders; one with oxygen, the other with a diluent gas source used to make up gas volume with depth increase and to dilute oxygen levels. CCR systems operate to maintain a constant ppO2 during the dive, regardless of depth.

11.20 Prerequisites for use of any rebreather

- A. Active scientific diver status, with depth authorization sufficient for the type, make, and model of rebreather, and planned application.
- B. Completion of a minimum of 25 open-water dives on open circuit SCUBA. The DCB may require increased dive experience depending upon the intended use of the rebreather system for scientific diving.
- C. For SCR or CCR, a minimum 60-feet-depth authorization is generally recommended, to ensure the diver is sufficiently conversant with the complications of deeper diving. If the sole expected application for use of rebreathers is shallower than this, a lesser depth authorization may be allowed with the approval of the DCB.
- D. Nitrox training. Training in use of nitrox mixtures containing 25% to 40% oxygen is required. Training in use of mixtures containing 40% to 100% oxygen may be required, as needed for the planned application and rebreather system.

11.30 Training

- A. Specific training requirements for use of each rebreather model must be defined by DCB on a case-by-case basis. Training must include factory-recommended requirements, but may exceed this to prepare for the type of mission intended (e.g., staged decompression or heliox/trimix CCR diving). (See training section for details.)
- B. Successful completion of training does not in itself authorize the diver to use rebreathers. The diver must demonstrate to the DCB or its designee that the diver possesses the proper attitude, judgment, and discipline to safely conduct rebreather diving in the context of planned operations.
- C. Post training supervised dives are required before the Scientific rebreather diver is authorized to use rebreather for research dives. (See training section for details).

Individual Equipment Requirements					
Key: X = include, IA = If Applicable					
	O_2	SCR	CCR		
DCB approved rebreather make and model	X	X	X		
Bottom timer, and depth gauge	X	X	X		
Dive computer (separate from rebreather unit)		X	X		
Approved dive tables		IA	IA		
SMB (surface marker buoy) and line reel or spool with sufficient line to	IA	IA	IA		
Access to an oxygen analyzer	X	X	X		
Cutting implement	X	X	X		
BCD capable of floating a diver with a flooded loop and/or dry suit at the	X	X	X		
Bailout gas supply of sufficient volume for planned diving activities	X	X	X		
Approved CO2 absorbent and other consumables	X	X	X		

11.40 Equipment Requirements

A. General

- 1. Only those models of rebreathers specifically approved by DCB shall be used.
- Rebreathers should meet the quality control/quality assurance protocols of the International Organization for Standardization (ISO) requirements: ISO 9004: 2009 or the most current version, AND successful completion of CE (Conformité Européenne) or DCB approved third party testing.
- 3. Rebreather modifications (including consumables and operational limits) that deviate from or are not covered by manufacturer documentation should be discussed with the manufacturer and approved by the DCB prior to implementation.

B. Equipment Maintenance Requirements

- 1. The DCB or their designee will establish policies for the maintenance of rebreathers and related equipment under their auspices. Rebreathers should be maintained in accordance with manufacturer servicing recommendations.
- 2. Field repairs and replacement of components covered in rebreather diver training is not annual maintenance and may be performed by the rebreather diver in accordance with DCB policy.
- 3. A maintenance log will be kept and will minimally include:
 - a) Dates of service
 - b) Service performed
 - c) Individuals or company performing the service

11.50 Operational Requirements

A. Dive Plan

In addition to standard dive plan components, at a minimum all dive plans that include the use of rebreathers must include:

- a) Information about the specific rebreather model(s) to be used
- b) Type of CO2 absorbent material
- c) Composition and volume(s) of supply gasses d) Bailout procedures
- e) Other specific details as required by the DCB
- B. Particular attention should be paid to using rebreathers under conditions where vibration or pulsating water movement could affect electronics or control switches and systems.
- C. Particular attention should be paid to using rebreathers under conditions where heavy physical exertion is anticipated.
- D. Respired gas densities should be less than 5 g·L⁻¹, and should not exceed 6 g·L⁻¹ under normal circumstances.
- E. User replaceable consumable rebreather components should be replaced per manufacture recommendations or as defined by the DCB.
- F. If performed, periodic field validation of oxygen cells should be conducted per DCB designated procedure.
- G. Diver carried off-board bailout is not required under conditions where the onboard reserves are adequate to return the diver to the surface while meeting proper ascent rate and stop requirements, and the system is configured to allow access to onboard gas. These calculations must take into consideration mixed mode operations where an open circuit diver could require assistance in an out of gas situation.
- H. Use and reuse of CO2 scrubber media should be per manufacture recommendations or as defined by the DCB.
- I. Planned oxygen partial pressure in the breathing gas must not exceed 1.4 atmospheres at depths greater than 30 feet, or 1.6 at depths less than 30 feet.
- J. Both CNS and Oxygen Tolerance Units (OTUs) should be tracked for each diver. Exposure limits should be established by the DCB.
- K. The DCB or their designee will:
 - 1. Establish policies for the use of checklists related to rebreather operations.
 - 2. Establish policies for pre- and post- dive equipment checks to be conducted by their divers.

- 3. Establish policies for disinfection of rebreathers to be used by their divers.
- 4. Establish policies for pre-breathing of rebreathers used by their divers
- 5. Establish policies for the use of mixed mode and mixed rebreather platform dive teams under their auspices.
 - a) Mixed mode and/or mixed platform dive teams are permitted.
 - b) At minimum, divers must be cross briefed on basic system operations for establishing positive buoyancy, closing a rebreather diver's breathing loop, and procedures for gas sharing.
- 6. Establish policies for the maximum depth of dives conducted using a particular class of rebreather within the auspices of their diving operations.
- 7. Establish policies for depth authorization and maintenance for divers using rebreathers.
- 8. Establish policies for implementing workup dives within program
 - a) Pre-operation workup dives, including review and practice of emergency recognition and response skills, and management of task loading are required for operations defined by the DCB as beyond the scope of normal operating conditions.
- 9. Establish policies for the minimum use of rebreathers to maintain proficiency.
 - a) The minimum Annual rebreather diving activity should be 12 rebreather dives, with a minimum of 12 h underwater time.
 - b) To count, dives should be no less than 30 min in duration. A required element of maintaining proficiency is the periodic performance and reevaluation of skills. related to in-water problem recognition and emergency procedures
- L. Establish policies for reauthorization for the use of rebreathers if minimum proficiency requirements are not met.
 - 1. Reestablishment of authorization to use rebreathers must require more than just performing a dive on a particular make or model of rebreather.
 - 2. At minimum demonstrated skills included in the required training elements for the level of rebreather operation must be performed and reevaluated

11.60 REBREATHER TRAINING SECTION

A. Entry Level Training

- 1. The training area for O₂ Rebreather should not exceed 20 feet in depth.
- 2. Entry level CCR and SCR training is limited in depth of 130feet and shallower.
- 3. Entry level CCR and SCR training is limited to nitrogen/oxygen breathing media.
- 4. Divers at the CCR and SCR entry level may not log dives that require a single decompression stop longer than 10 minutes.
- 5. Who may teach: Individuals authorized as a CCR, SCR, or O₂ Rebreather Instructor by the DCB; in all cases, the individual authorized must have operational experience on the rebreather platform being taught, and where applicable the individual being authorized should be authorized as an instructor by the respective rebreather manufacturer or their designee.
- 6. Maximum Student/Instructor Ratio: 4 to 1. This ratio is to be reduced as required by environmental conditions or operational constraints.
- 7. Upon completion of practical training, the diver must demonstrate proficiency in pre-dive, dive, and post-dive operational procedures for the particular model of rebreather to be used.
- 8. Supervised dives target activities associated with the planned science diving application. Supervisor for these dives is the DSO or designee, experienced with the make/model rebreather being used.

Rebreather Entry Level Training Requirements					
Key: X = include, IA = If Applicable, ISE = If So Equipped					
	O ₂	SCR	CCR		
Required Training Topic					
Academic					
History of technology	X	X	X		
Medical & physiological aspects of:					
Oxygen toxicity	X	X	X		
Chemical burns & caustic cocktail	X	X	X		
Hypoxia – insufficient O ₂	X	X	X		
Hypercapnia – excessive CO ₂	X	X	X		
Arterial gas embolism	X	X	X		
Middle Ear Oxygen Absorption Syndrome (oxygen ear)	X	X	X		
Hygienic concerns	X	X	X		
Nitrogen absorption & decompression sickness		X	X		
CO ₂ retention	X	X	X		
Hyperoxia-induced myopia	X	X	X		
System design, assembly, and operation, including:					
Layout and design	X	X	X		

Oxygen control systems	X	X	X
Diluent control systems	Λ	ISE	ISE
Use of checklists	X	X	X
Complete assembly and disassembly of the unit	X	X	X
Canister design & proper packing and handling of chemical absorbent	X	X	X
Decompression management and applicable tracking methods	Λ	ISE	X
	X	X	X
Oxygen and high pressure gas handling and safety	X	X	X
Fire triangle	X	X	X
Filling of cylinders	X	X	X
Pre-dive testing & trouble shooting Post-dive break-down and maintenance	X	X	X
	X	X	X
Trouble shooting and manufacturer authorized field repairs			
Required maintenance and intervals	X	X	X
Manufacturer supported additional items (ADV, temp stick, CO2 monitor, etc.)	ISE	ISE	ISE
Dive planning:			
Operational planning	X	X	X
Gas requirements	X	X	X
Oxygen exposure and management	X	X	X
Gas density calculations		X	X
Oxygen metabolizing calculations	X	X	X
Scrubber limitations	X	X	X
Mixed mode diving (buddies using different dive modes)	X	X	X
Mixed platform diving (buddies using different rebreather platforms)	X	X	X
Problem Recognition & Emergency Procedures:			
Applicable open circuit emergency procedures for common gear elements	X	X	X
Loss of electronics	ISE	ISE	X
Partially flooded loop	X	X	X
Fully flooded loop	X	X	X
Cell warnings		ISE	X
Battery warnings	ISE	ISE	X
High O ₂ warning	ISE	ISE	X
Low O ₂ warning	ISE	ISE	X
High CO ₂ warning	ISE	ISE	ISE
Recognizing issues as indicated by onboard scrubber monitors	ISE	ISE	ISE
Recognizing hypercapnia signs and symptoms in self or buddy	X	X	X
Excluded O ₂ cell(s)	ISE	ISE	ISE
Loss of Heads Up Display (HUD)	ISE	ISE	ISE
Loss of buoyancy	X	X	X
Diluent manual add button not functioning		ISE	ISE
O2 manual add button not functioning	ISE	ISE	ISE
Exhausted oxygen supply	X	X	X
		ISE	ISE
Exhausted diluent supply			ISE
Exhausted diluent supply Lost or exhausted bailout	ISE	ISE	IDL
Lost or exhausted bailout	ISE ISE	ISE ISE	ISE
Lost or exhausted bailout Handset not functioning			
Lost or exhausted bailout Handset not functioning Solenoid stuck open	ISE ISE	ISE ISE	ISE ISE
Lost or exhausted bailout Handset not functioning	ISE	ISE	ISE

Isolator valve(s) not functioning	ISE	ISE	ISE	
Oxygen sensor validation	ISE	ISE	X	
CO ₂ sensor validation	IA	IA	IA	
Gas sharing	X	X	X	
Diver assist and diver rescue	X	X	X	
Other problem recognition and emergency procedures specific to the particular	X	X	X	
unit, environment, or diving conditions				
Practical Training and Evaluations				
Demonstrated skills must include, at a minimum:				
Use of checklists	X	X	X	
Carbon dioxide absorbent canister packing	X	X	X	
Supply gas cylinder analysis and pressure check	X	X	X	
Test of one-way valves	X	X	X	
System assembly and breathing loop leak testing	X	X	X	
Oxygen control system calibration	ISE	ISE	X	
Proper pre-breathe procedure	X	X	X	
In-water bubble check	X	X	X	
Proper buoyancy control during descent, dive operations, and ascent	X	X	X	
System monitoring & control during descent, dive operations, and ascent	X	X	X	
Proper interpretation and operation of system instrumentation	X	X	X	
Proper buddy contact and communication	X	X	X	
Use of a line reel or spool to deploy an SMB from planned dive depth and	X	X	X	
while controlling buoyancy in the water column				
Proper management of line reel or spool, and SMB during ascents and safety		X	X	
or required stops				
Unit removal and replacement on the surface		X	X	
Bailout and emergency procedures for self and buddy, including:				
System malfunction recognition and solution	X	X	X	
Manual system control	ISE	ISE	ISE	
Flooded breathing loop recovery	IA	IA	IA	
Absorbent canister failure	X	X	X	
Alternate bailout options	X	X	X	
Manipulation of onboard and off board cylinder valves	X	X	X	
Manipulation of bailout cylinders (removal, replacement, passing and	ISE	ISE	ISE	
receiving while maintaining buoyancy control)				
Manipulation of quick disconnects, isolator valves, and manual controls	ISE	ISE	ISE	
specific to the unit and gear configuration				
Proper system maintenance, including:				
Breathing loop disassembly and disinfection	X ISE	X	X	
Oxygen sensor replacement		ISE	ISE	
Battery removal and replacement or recharging		ISE	ISE	
Other tasks as required by specific rebreather models	X	X	X	
Written Evaluation	X	X	X	
Supervised Rebreather Dives		X	X	
Entry Level Training – Minimum Underwater Requirements				
Ling Level Hamming Trimming Chackward I	C cqui			
<u> </u>		sed Dives		
Pool/Confined Water	Supervi		S	

- B. Rebreather Required Decompression, Normoxic, and Hypoxic Mix Training
 - 1. Required Decompression and Normoxic Training may be taught separately or combined.
 - 2. Prerequisites:
 - a) Required Decompression 25 rebreather dives for a minimum cumulative dive time of 25 hours
 - b) Mixed Gas:
 - (1) Normoxic Mixes 25 rebreather dives for a minimum cumulative dive time of 25 hours
 - (2) Hypoxic Mixes Rebreather Required Decompression Certification and Normoxic Certification and 25 decompression rebreather dives for a minimum cumulative dive time of 40 hours on dives requiring decompression
 - 3. Who may teach: Individuals authorized as a CCR/SRC required decompression and/or Normoxic and/or Hypoxic Mix instructor by the DCB or their designee (this is in addition to the original authorization from section A #5)
 - 4. Maximum Student/Instructor Ratio: 2 to 1. This ratio is to be reduced as required by environmental conditions or operational constraints
 - 5. Upon completion of practical training, the diver must demonstrate proficiency in pre-dive, dive, and post-dive operational procedures for the particular model of rebreather to be used
 - 6. Supervised dives target activities associated with the planned science diving application.

 Supervisor for these dives is the DSO or designee, experienced with the make/model rebreather being used

Rebreather Required Decompression, Normoxic & Hypoxic Mix					
Training Requirements					
Key: X = include, IA = If Applicable, ISE = If So Equipped					
7 11 7 1 11	Deco	Normoxic	Hypoxic		
Required Training Topic					
Academic					
Review of applicable subject matter from previous training	X	X	X		
Medical & physiological aspects of:					
Hypercapnia, hypoxia, hyperoxia	X	X	X		
Oxygen limitations	X	X	X		
Nitrogen limitations	X	X	X		
Helium absorption and elimination		X	X		
High Pressure Nervous Syndrome (HPNS)			X		
System design, assembly, and operation, including:					
Gear considerations and rigging	X	X	X		
Gas switching	X	X	X		
Dive planning:					
Decompression calculation	X	X	X		

Gradient Factors	v	v	X
	X	X X	X
Scrubber duration and the effects of depth on scrubber function	X	X	X
Gas requirements including bailout scenarios	X	X	X
Bailout gas management – individual vs team bailout Gas density calculations	X	X	X
Operational Planning	X	X	X
Equivalent narcosis depth theory	Λ	X	X
Gas selection, gas mixing and gas formulas		X	X
Problem Recognition & Emergency Procedures:			71
Applicable open circuit emergency procedures for common gear	X	X	X
Flooded loop	X	X	X
Cell warnings	X	X	X
Battery warnings	X	X	X
Hypercapnia, hypoxia, hyperoxia	X	X	X
Practical Training and Evaluations			
Demonstrated skills must include, at a minimum:			
Proper demonstration of applicable skills from previous training	X	X	X
Proper manipulation of DSV and/or BOV	X	X	X
Proper descent and bubble check procedures	X	X	X
Proper monitoring of setpoint switching and pO2 levels	X	X	X
Proper interpretation and operation of system instrumentation	X	X	X
System monitoring & control during descent, dive operations, and ascent	X	X	X
Demonstrate the ability to manually change setpoint and electronics settings during the dive	ISE	ISE	ISE
Demonstrate buoyancy control; ability to hover at fixed position in water column without moving hands or feet	X	X	X
Demonstrate controlled ascent with an incapacitated diver including surface tow at least 30 meters / 100 feet with equipment removal on surface, in water too deep to stand	X	X	X
Onboard and off board valve manipulation for proper use, and reduction of gas loss	X	X	X
Diagnosis of and proper reactions for a flooded absorbent canister	X	X	X
Diagnosis of and proper reactions for CO2 breakthrough	X	X	X
Diagnosis of and proper response to Cell Errors	X	X	X
Diagnosis of and proper reactions for Low oxygen drills	X	X	X
Diagnosis of and proper reactions for Flooded Loop	X	X	X
Diagnosis of and proper reactions for High Oxygen Drills	X	X	X
Diagnosis of and proper reactions for electronics and battery failure	X	X	X
Operation in semi-closed mode	X	X	X
Properly execute the ascent procedures for an incapacitated dive	X	X	X
Proper buddy contact and communication	X	X	X
Use of a line reel or spool to deploy an SMB from planned dive depth and while controlling buoyancy in the water column	X	X	X

_	Proper management of line reel or spool, and SMB during ascents and safety or required stops				X
Demonstrate the a	bility to maintain minimu	m loop volume	X	X	X
	fort swimming on surface ompression cylinder/bailo		X		
bailout/decompres	ty to pass and retrieve a s ssion cylinder or bailout r ion in the water column		X		
Demonstrate ability to pass and receive multiple bailout/decompression cylinders or bailout rebreather while maintaining position in the water column				X	X
	the ability to perform sim depths for scheduled time	X	X	X	
	the ability to perform decess for scheduled times	ompression stops at pre-	X	X	X
-	Demonstrate competence managing multiple bailout cylinders, including drop and recovery while maintaining position in the water				X
Demonstrate appr	ropriate reaction to simul	ated free-flowing deco	X	X	X
Gas share of deco	gas for at least 1 minute		X	X	X
Demonstrate oxyg	gen rebreather mode at a	ppropriate stop depth		X	X
Complete bailout obligation on oper	scenarios from depth to i n circuit	nclude decompression	X	X	X
	Written Evaluation	on	X	X	X
	Supervised Rebreathe	r Dives	X	X	X
N	Minimum Under	water Requireme	nts		
	Pool/Confined	Openwater		Superviso	ed Dives**
Deco	1 Dive / 60 min	7 Dives / 420 min		4 Dives / 240 min.	
Normoxic	1 Dive / 60 min	7 Dives / 420 min 4 Dives / 2		/ 240 min.	
Deco/Normoxic Combined	1 Dive / 60 min	7 Dives / 420 min		4 Dives	/ 240 min.
Hypoxic Mixes	3 Normovic Dives / 180 min 4 Dives / 24 4 Div			/ 240 min.	
. .	hree supervised dives sho	uld comply with authorization			

B. Rebreather Crossover Training

- 1. Crossover training to a new rebreather platform requires a minimum of 4 training dives for a minimum cumulative dive time of 240 min.
- 2. Advanced level certification on a new rebreather platform may be awarded upon successful demonstration of required skills using the new platform.

SECTION 12.00 SCIENTIFIC CAVE AND CAVERN DIVING

This section defines specific considerations regarding the following issues for Scientific Cavern and Cave diving:

- Training and/or experience verification requirements for authorization
- Equipment requirements
- Operational requirements and additional safety protocols to be used

Application of this standard is in addition to pertinent requirements of all other sections of this *Manual*.

For cavern or cave dives that also involve staged decompression, rebreathers, and/or mixed gas diving, all requirements for each of the relevant diving techniques, modes, or gases must be met.

No diver must conduct planned operations in caverns, caves, or other overhead environments without prior review and approval of the DCB or designee. The diver must demonstrate that he/she possesses the proper attitude, judgment, and discipline to safety conduct cave and cavern diving in the context of planned operations.

If a conflict exists between this section and other sections in this *Manual*, the information set forth in this section only takes precedence when the scientific diving being conducted takes place wholly or partly within an underwater cave or cavern environment.

12.10 Definition

A dive team must be considered to be cave or cavern diving if at any time during the dive they find themselves in a position where they cannot complete a direct, unobstructed ascent to the surface because of rock formations. In addition to blocking direct access to surfacing, underwater caves have additional environmental hazards including but not limited to:

- The absence of natural light.
- Current or flow that vary in strength and direction. Of particular note is a condition known as siphoning. Siphoning caves have flow or current directed into the cave. This can cause poor visibility as a result of mud and silt being drawn into the cave entrance.
- The presences of silt, sand, mud, clay, etc. that can cause visibility to be reduced to nothing in a very short time.
- Restrictions Any passage through which two divers cannot easily pass side by side while sharing air make air sharing difficult.
- Cave-Ins Cave-Ins are a normal part of cave evolution; however experiencing a cave-in during diving operations is extremely unlikely.

12.20 Prerequisites

Prerequisites	Cavern:	Cave	Rebreather
	OC or		Cave
	Rebreather		
Active scientific diver status, with depth qualification sufficient for proposed training location(s)	X	X	X
Completion of a minimum of 25 dives.	X		
Cavern Diver Authorization		X	X

12.30 Training

12.30 Training			
Training	Cavern:	Cave	Rebreather
	OC or	OC	Cave
W W : 1 1 2 2 1 1 10 00 0	Rebreather		
Key: X = include, R = Review, IA = If Applicable, OC = Open			
Circuit			
Trainers must be qualified for the type of instruction to be provided.	37	37	37
Training must be conducted by agencies or instructors approved by	X	X	X
the DCB or their designee Academic			
	v	V	v
Policy for diving overhead environments Environment and environmental hazards	X X	X	X X
Accident analysis	X	X	X
Psychological considerations	X X	X	X
Required equipment and equipment configuration	Λ	Λ	Λ
	IA	IA	
Single cylinder with H or Y Valve Doubles with Isolation Manifold	IA IA	IA	
Side Mount	IA	IA	IA
No Mount		IA	IA IA
Stage Cylinder(s)		IA	IA
Off-board Bailout	IA	IA	X
Communications	X	X	X
Diving techniques	71	71	71
Body control	X	X	X
Navigation and guidelines	X	X	X
Entry and Exit Protocols (Right of Way)	X	R	R
Use of line arrows and cookies	X	X	X
Line Systems Applicable to the Area and/or Cave System	X	R	R
Line Jumps		X	X
Circuits		X	X
Dive planning			
Rule of Sixths	X	R	R
Rule of Thirds	X	R	R
Gas Matching	IA	X	X
Decompression Theory	R	R	R
Dive Tables	R	R	R
Mixed Mode Diving	IA	IA	IA
Cave geology	X	R	R
Cave hydrology	X	R	R
Cave biology	X	X	X
Emergency procedures	X	X	X
Practical Training and Evaluation			
Land Drills			

Line Reel Use	X	R	R
Techniques and Considerations for Laying a Guideline	X	X	X
Guideline Following	X	R	R
Buddy Communication	X	R	R
Team Positioning for Normal Entry and Exit	X	X	X
	Λ	Λ	Λ
Zero Visibility Drills			
Line Reel Use	X	R	R
Line and Line Arrow Identification and Following	X	R	R
Bump and Go (Skills description)		X	X
Emergency Procedures			
How Far Can You Go Out Of Gas?(Skills description)	X	X	X
Team Positioning for Emergency Situations	X	X	X
In-Water			
Demonstrated skills must include, at a minimum:			
A minimum of four (4) cavern dives, preferably to be conducted in a minimum of two (2) different caverns	X		
A minimum of twelve (12) cave dives, preferably to be conducted in		X	X
a minimum of four (4) different cave sites with differing conditions		Λ	Λ
Safety drill (S-drill) – Performed on every dive			
Review of Dive Plan and Turn Pressures	X	X	X
Essential Gear Identification, Positioning, and Function Check	X	X	X
Proper Valve Position Check	X	X	X
Bubble Check	X	X	X
Proper Buoyancy Compensator Use	X	X	X
Proper Trim and Body Positioning	X	X	X
Hovering and Buoyancy With Hand Tasks	X	X	X
Specialized Propulsion Techniques and Anti-Silting Techniques (modified flutter kick, modified frog kick, pull and glide, ceiling walk or shuffle)	X	X	X
Proper Light and Hand Signal Use	X	R	R
Proper Reel and Guideline Use	X	X	X
Ability to Deploy a Primary Reel and Tie Into a Main Line Under			
Different Conditions (Flow, Visibility, Bottom/Silt, etc.)	X	X	X
Proper Line Placement and Etiquette	X	X	X
Proper Use of Safety Reel		X	X
Proper Use of Jump/Gap Reel(s)		X	X
Use of Drop/Stage Cylinders			
Proper Placement and Retrieval of Cylinder(s) With Minimal		IA	IA
Disturbance of Environment and Visibility		11.1	
Ability to Deploy and Retrieve Cylinders With Minimal Loss of		IA	IA
Forward Progress	т.		
Surveying	IA Y	IA	IA V
Ability to Properly Critique Their Dives and Performance	X	X	X
Zero Visibility Drills	IA V	X	X
Line Reel Use Buddy Communication	X X	R	R
Line and Line Arrow Identification and Following	X	R	R
Bump and Go (Skills Description)	Λ	X	X
Emergency Procedures		Λ	Λ
Team Positioning for Emergency Situations	X	X	X
2 2 contoning for Emergency Situations	21	7.1	X 58

Lost Line (Skills Description)		X	X
Lost Buddy	X	X	X
Gas Sharing While Following Guideline (Conducted with and without visibility, As Donor and Receiver)	X	X	X
Gas Sharing in a Minor Restriction Using a Single File Method As		X	X
Donor and Receiver	37	37	V
Valve Manipulation	X	X	X
Proper Attitude, Judgment, and Discipline To Safely Conduct Dives In An Overhead Environment	X	X	X
Written Examination			
A written evaluation approved by the DCB with a predetermined passing score, covering concepts of both classroom and practical training	X	X	X

12.40 Equipment Requirements

Equipment used for SCUBA in cave or cavern diving is based on the concept of redundancy. Redundant SCUBA equipment must be carried whenever the planned penetration distances are such that an emergency swimming ascent is not theoretically possible.

Minimum Equipment	Cavern OC	Rebreather Cavern	Cave OC	Rebreather Cave
Key: X = include, R = Review, IA = If Applicable, OC =	00	Caveni	OC	Cave
Open Circuit				
At a minimum, a single cylinder with adequate volume and				
configured to allow divers to exit from farthest/deepest				
penetration while supporting self and dive buddy equipped	X			
with a "K" valve; standard OC regulator configuration	71			
(Section 3.20); and BCD				
At minimum, a single cylinder equipped with an "H" or "Y"				
valve				
Or an alternate gas supply with adequate volume and			IA	
configured to allow divers to exit from farthest/deepest			111	
penetration while supporting self and dive buddy				
Off-board/bailout gas supply of sufficient volume and				
configured to allow diver to exit from farthest/deepest	IA	X		X
penetration				
A BCD capable of being inflated from the cylinder	X	X	X	X
Slate and pencil	X	X	X	X
A functioning primary light with sufficient burn time for the			v	V
planned dive			X	X
Two functioning battery powered secondary lights	X	X	X	X
Two cutting devices	X	X	X	X
One primary reel of at least 350 feet (106 m) for each team	X	X	X	X
Safety reel with at least 150 feet (45.6 m) of line			X	X
Directional Line Markers			X	X
Cylinders with dual orifice isolation valve manifold				
Or independent SCUBA systems* with enough volume for			X	
the planned dive plus required reserve				
Two completely independent regulators, at least one of each				
having submersible tank pressure gauge and a low pressure			X	
inflator for the BCD				

One regulator to be configured with a five foot or longer second stage hose		X	
Rebreather	X		X
Off-board Bailout of sufficient capacity for the diver to exit to the surface	X		X
*Independent SCUBA systems must be configured to allow for	monitoring of gas pressu	res in eac	ch cylinder

12.50 Operational Requirements and Safety Protocols

Operational Requirements and Safety Protocols	Cavern	Cave
Diving must not be conducted at penetration distance into the overhead environment greater than 200 feet (60 m) from the water's surface, with a depth limit of 100 feet (30 m)	X	
Dive teams must perform a safety drill prior to each dive that includes equipment check, gas management, and dive objectives	X	X
Each team within the overhead zone must utilize a continuous guideline appropriate for the environment leading to a point from which an uninterrupted ascent to the surface may be made	X	X
Gas management must be appropriate for the planned dive with special considerations made for; DPV's, siphon diving, rebreathers, etc.	X	X
The entire dive team is to immediately terminate the dive whenever any dive team member calls (terminates) the dive	X	X

Appendices

Appendix 1 through 9



California State Universities - Ocean Studies Institute APPENDIX 1 - AAUS Scientific Diving Medical Evaluation



Medical Evaluation Checklist and Instructions:

Take all forms to the physician
Form I - Diving Medical Exam Overview for the Examining Physician - for the physician
Form II - Diving Medical History - return completed, signed to the Dive Safety Officer
Form III - Applicant's Release of Medical Information Form - for the physician
Form IV - AAUS Medical Evaluation of Fitness for Scuba Diving Report- return completed, signed and dated
by the physician to the Dive Safety Officer
Please Note: The Health Insurance Portability and Accountability Act (HIPPA) privacy guidelines will not
allow your physician to email or fax your completed medical evaluation. They most often mail the documents
to you, however it is strongly recommended that you pick up your completed medical evaluation from your
physician. You may then mail, email, fax or hand-deliver Form II (Diving Medical History) and Form IV
(AAUS Medical Evaluation of Fitness for Scuba Diving Report to the Dive Safety Officer. If you send in the
original documents, make sure to keep a copy for your records. Please do not include any test or lab results -
to protect your privacy these will not be retained in your files and will be securely shredded.

Please return the above documents to:

Ocean Studies Institute AAUS Scientific Diving Course Attn: Dive Safety Officer 820 South Seaside Avenue Terminal Island, CA 90731

Feel free to contact the DSO if you have any questions

Email: darrell.montague@csulb.edu

Mobile: (626) 399-3308

SCMI: (310) 519-3172 ext 976



California State Universities - Ocean Studies Institute APPENDIX 1 - Medical Form I

Diving Medical Exam Overview for the Examining Physician



To the Examining Physician:

This person,	, requires a medical examination to assess his/her fitness for
certification as a Scientific Div	ver for the (your University)
His /her answers on the Diving	g Medical History Form (attached), may indicate potential health or safety risks as
noted. Your evaluation is requ	ested on the attached scuba Diving Fitness Medical Evaluation Report. If you
have questions about diving m	edicine, you may wish to consult one of the references on the attached list or
contact one of the physicians v	with expertise in diving medicine whose names and phone numbers appear on an
attached list. Please contact the	e undersigned Diving Safety Officer if you have any questions or concerns about
diving medicine or the Ocean	Studies Institute/A AUS standards. Thank you for your assistance

California State Universities – Ocean Studies Institute Diving Safety Officer - Darrell Montague 820 South Seaside Avenue Terminal Island, CA 90731

Phone: 626 399-3308 Email: darrell.montague@csulb.edu

Scuba and other modes of compressed-gas diving can be strenuous and hazardous. A special risk is present if the middle ear, sinuses or lung segments do not readily equalize air pressure changes. The most common cause of distress is eustachian insufficiency. Most fatalities involve deficiencies in prudence, judgment, emotional stability or physical fitness. Please consult the following list of conditions, which usually restrict candidates from diving.

(Adapted from Bove, 1998: 61 -63, bracketed numbers are pages in Bove)

CONDITIONS WHICH MAY DISQUALIFY CANDIDATES FROM DIVING

- 1. Abnormalities of the tympanic membrane, such as perforation, presence of a monomeric membrane, or inability to autoinflate the middle ears. [5,7,8,9]
- 2. Vertigo including Meniere's Disease. [13]
- 3. Stapedectomy or middle ear reconstructive surgery. [11]
- 4. Recent ocular surgery. [15,18,19]
- 5. Psychiatric disorders including claustrophobia, suicidal ideation, psychosis, anxiety states, untreated depression. [20-23]
- 6. Substance abuse, including alcohol. [24-25]
- 7. Episodic loss of consciousness. [1,26,27]
- 8. History of seizure. [27,28]
- 9. History of stroke or a fixed neurological deficit. [29,30]
- 10. Recurring neurologic disorders, including transient ischemic attacks. [29,30]
- 11. History of intracranial aneurysm, other vascular malformation or intracranial hemorrhage. [31]
- 12. History of neurological decompression illness with residual deficit. [29,30]
- 13. Head injury with sequelae. [26,27]
- 14. Hematologic disorders including coagulopathies. [41,42]
- 15. Evidence of coronary artery disease or high risk for coronary artery disease1 [33-35]
- 16. Atrial septal defects. [39]
- 17. Significant valvular heart disease isolated mitral valve prolapse is not disqualifying. [38]
- 18. Significant cardiac rhythm or conduction abnormalities. [36-37]
- 19. Implanted cardiac pacemakers and cardiac defibrillators (ICD). [39,40]
- 20. Inadequate exercise tolerance. [34]
- 21. Severe hypertension. [35]
- 22. History of spontaneous or traumatic pneumothorax. [45] 2/01 41

- 23. Asthma2. [42-44]
- 24. Chronic pulmonary disease, including radiographic evidence of pulmonary blebs, bullae or cysts.[45,46]
- 25. Diabetes mellitus. [46-47]
- 26. Pregnancy1. [56]

SELECTED REFERENCES IN DIVING MEDICINE

Available from Best Publishing Company, P.O. Box 30100, Flagstaff, AZ 86003-0100, the Divers Alert Network (DAN) or the Undersea and Hyperbaric Medical Society (UHMS), Durham, NC

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- "Assessment of Cardiovascular Risk by Use of Multiple-Risk-Factor Assessment Equations." Grundy et. al. 1999. AHA/ACC Scientific Statement. http://www.acc.org/clinical/consensus/risk/risk1999.pdf2
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California State Universities - Ocean Studies Institute APPENDIX 2-Medical Form II

Diving Medical History

(To Be Completed By Applicant-Diver)



431110								
Name	_ Sex	_Age _	Wt	_ Ht	Date _	//		
TO THE APPLICANT: Scuba diving places considerable physical and mental d	emands on th	he diver.	Certain me	edical and phy	ysical requirem	ents must	be me	t before
4 · · · · · · · · · · · · · · · · · · ·						<u>ر</u> ،	11 (1	1 .

beginning a diving or training program. Your accurate answers to the questions are more important, in many instances, in determining your fitness to dive than what the physician may see, hear or feel as part of the diving medical certification procedure.

This form shall be kept confidential by the examining physician. If you believe any question amounts to invasion of your privacy, you may elect to omit an answer,

This form shall be kept confidential by the examining physician. If you believe any question amounts to invasion of your privacy, you may elect to omit an answer, provided that you shall subsequently discuss that matter with your own physician who must then indicate, in writing, that you have done so and that no health hazard exists. Should your answers indicate a condition, which might make diving hazardous, you will be asked to review the matter with your physician. In such instances, their written authorization will be required in order for further consideration to be given to your application. If your physician concludes that diving would involve undue risk for you, remember that they are concerned only with your well-being and safety.

	Yes	No	Please indicate whether or not the following apply to you	Comments
1			Convulsions, seizures, or epilepsy	
2			Fainting spells or dizziness	
3			Been addicted to drugs	
4			Diabetes	
5			Motion sickness or sea/air sickness	
6			Claustrophobia	
7			Mental disorder or nervous breakdown	
8			Are you pregnant?	
9			Do you suffer from menstrual problems?	
10			Anxiety spells or hyperventilation	
11			Frequent sour stomachs, nervous stomachs or vomiting spells	
12			Had a major operation	
13			Presently being treated by a physician	
14			Taking any medication regularly (even non-prescription)	
15			Been rejected or restricted from sports	
16			Headaches (frequent and severe)	
17			Wear dental plates	
18			Wear glasses or contact lenses	
19			Bleeding disorders	
20			Alcoholism	
21			Any problems related to diving	
22			Nervous tension or emotional problems	
23			Take tranquilizers	
24			Perforated ear drums	
25			Hay fever	
26			Frequent sinus trouble, frequent drainage from the nose, post-nasal drip, or stuffy nose	
27			Frequent earaches	
28			Drainage from the ears	
29			Difficulty with your ears in airplanes or on mountains	
30			Ear surgery	
31			Ringing in your ears	
32			Frequent dizzy spells	
33			Hearing problems	
34			Trouble equalizing pressure in your ears	
35			Asthma	
36			Wheezing attacks	
37			Cough (chronic or recurrent)	
38			Frequently raise sputum	

	Yes	No	Please indicate whether or not the following apply to you	Comments
39			Pleurisy	
40			Collapsed lung (pneumothorax)	
41			Lung cysts	
42			Pneumonia	
43			Tuberculosis	
44			Shortness of breath	
45			Lung problem or abnormality	
46			Spit blood	
47			Breathing difficulty after eating particular foods, after exposure to particular pollens or animals	
48			Are you subject to bronchitis	
49			Subcutaneous emphysema (air under the skin)	
50			Air embolism after diving	
51			Decompression sickness	
52			Rheumatic fever	
53			Scarlet fever	
54			Heart murmur	
55			Large heart	
56			High blood pressure	
57			Angina (heart pains or pressure in the chest)	
58			Heart attack	
59			Low blood pressure	
60			Recurrent or persistent swelling of the legs	
61			Pounding, rapid heartbeat or palpitations	
62			Easily fatigued or short of breath	
63			Abnormal EKG	
64			Joint problems, dislocations or arthritis	
65			Back trouble or back injuries	
66			Ruptured or slipped disk	
67			Limiting physical handicaps	
68			Muscle cramps	
69			Varicose veins	
70			Amputations	
71			Head injury causing unconsciousness	
72			Paralysis	
73			Have you ever had an adverse reaction to medication?	
74			Do you smoke?	
75			Have you ever had any other medical problems not listed? If so, please list or describe below;	
76			Is there a family history of high cholesterol?	
77			Is there a family history of heart disease or stroke?	
78			Is there a family history of diabetes?	
79			Is there a family history of asthma?	
80			Date of last tetanus shot? Vaccination dates?	

/0	Amputations		
71	Head injury causing unconsciousness		
72	Paralysis		
73	Have you ever had an adverse reaction to medication?		
74	Do you smoke?		
75	Have you ever had any other medical problems not listed? If so, please list or describe below;	t	
76	Is there a family history of high cholesterol?		
77	Is there a family history of heart disease or stroke?		
78	Is there a family history of diabetes?		
79	Is there a family history of asthma?		
80	Date of last tetanus shot? Vaccination dates?		
lease explain a	any "yes" answers to the above questions. e above answers and information represent an accurate and complete description of a		



California State Universities - Ocean Studies Institute APPENDIX 2b-Medical Form III Applicant's Release of Medical Information



Name of Applicant (Print or Type)	
	and all medical information subsequently acquired in association with Diving Safety Officer and Diving Control Board or their designee at
(place)	on (date)
Signature of Applicant	Date



California State Universities - Ocean Studies Institute APPENDIX 3 - Medical Form IV

AAUS Medical Evaluation of Fitness for Scuba Diving Report



Name of Applicant (Print or Type)	Date of Medical Evaluation (Month/Day/Year)

To The Examining Physician: Scientific divers require periodic scuba diving medical examinations to assess their fitness to engage in diving with self-contained underwater breathing apparatus (scuba). Their answers on the Diving Medical History Form may indicate potential health or safety risks as noted. Scuba diving is an activity that puts unusual stress on the individual in several ways. Your evaluation is requested on this Medical Evaluation form. Your opinion on the applicant's medical fitness is requested. Scuba diving requires heavy exertion. The diver must be free of cardiovascular and respiratory disease (see references, following page). An absolute requirement is the ability of the lungs, middle ears and sinuses to equalize pressure. Any condition that risks the loss of consciousness should disqualify the applicant. Please proceed in accordance with the AAUS Medical Standards (Sec. 6.00). If you have questions about diving medicine, please consult with the Undersea Hyperbaric Medical Society or Divers Alert Network.

TESTS: THE FOLLOWING TESTS ARE REQUIRED:

During all Initial and Periodic Re-exams (Under Age 40):

- Medical history
- Complete physical exam, with emphasis on neurological and otological components
- Urinalysis
- Any further tests deemed necessary by the physician

Additional Tests During First Exam Over Age 40 and Periodic Re-exams (Over Age 40):

- Chest x-ray (Required only during first exam over age 40)
- Resting EKG

Telephone Number

Assessment of coronary artery disease using Multiple-Risk-Factor Assessment¹
(age, lipid profile, blood pressure, diabetic screening, smoking)
 Note: Exercise stress testing may be indicated based on Multiple-Risk-Factor Assessment²

PHYSICIAN'S STATEMENT:			
01 Diver <u>IS</u> medically qualifie 02 Diver <u>IS NOT</u> medically qualifie			ge 40-59) ander age 40)
I have evaluated the abovementioned in standards and required tests for scientific that may be disqualifying for participation would not disqualify him/her from diving the nature of the hazards and the risks inv	dividual according t diving (Sec. 6.00 and n in scuba diving. I but which may serio	o the American Acad I Appendix 1) and, in have discussed with the usly compromise subs	demy of Underwater Sciences medical my opinion, find no medical conditions he patient any medical condition(s) that
	MD or DO		
Signature		Date	
Name (Print or Type)			
Address			

E-Mail Address

My familiarity with applicant is: _____This exam only _____Regular physician for _____ years

My familiarity with diving medicine is:

APPENDIX 4

RECOMMENDED PHYSICIANS WITH EXPERTISE IN DIVING MEDICINE

List of local Medical Doctors that have training and expertise in diving or undersea medicine. Level I graduates of the Undersea Hyperbaric and Medical Society (UHMS) Fitness to Dive courses (approximately 250 physicians) are listed at http://membership.uhms.org/?page=DivingMedical (UHMS website, go to Resources, go to Library, go to Diving Medical Examiners)

1.	Name: Dr. Amanda Apicella
	Address: San Diego, CA
	_aapicella.md@gmail.com
	Telephone: <u>858-232-3093</u>
2.	Name: Dr. Aaron Heerboth
	Address: San Diego, CA
	aheerboth@gmail.com
	Telephone: <u>520-591-9842</u>
3.	Name: Dr. Daniel Landry
	Address: San Diego, CA
	dlandrymd@gmail.com
	Telephone: 619-543-5224
4.	Name: Michael Levine
	Address: Los Angeles, CA
	mdlevine@usc.edu
	Telephone: <u>818-426-0300</u>
5.	Name: Divers Alert Network
	Address: Raleigh, NC
	Emergency Hotline – 919-684-9111
	Telephone: Dive Medicine Questions – 919-684-2948 Ext. 222 Mon-Fri 08:30 – 17:00 EST
6.	Name: J. Thomas Millington
	Address: Thousand Oaks, CA
	www.socalwhc.com
	Telephone: (805)-494-1222

APPENDIX 5 DEFINITION OF TERMS

Air sharing - Sharing of an air supply between divers.

ATA(s) - "Atmospheres Absolute", Total pressure exerted on an object, by a gas or mixture of gases, at a specific depth or elevation, including normal atmospheric pressure.

Breath-hold Diving - A diving mode in which the diver uses no self-contained or surface-supplied air or oxygen supply.

Buddy Breathing - Sharing of a single air source between divers.

Buddy Diver - Second member of the dive team.

Buddy System -Two comparably equipped scuba divers in the water in constant communication.

Buoyant Ascent - An ascent made using some form of positive buoyancy.

Burst Pressure - Pressure at which a pressure containment device would fail structurally.

Certified Diver - A diver who holds a recognized valid certification from an organizational member or internationally recognized certifying agency.

Controlled Ascent - Any one of several kinds of ascents including normal, swimming, and air sharing ascents where the diver(s) maintain control so a pause or stop can be made during the ascent.

Cylinder - A pressure vessel for the storage of gases.

Decompression Chamber - A pressure vessel for human occupancy. Also called a hyperbaric chamber or decompression chamber.

Decompression Sickness - A condition with a variety of symptoms, which may result from gas, and bubbles in the tissues of divers after pressure reduction.

Dive - A descent into the water, an underwater diving activity utilizing compressed gas, an ascent, and return to the surface.

Dive Computer- A microprocessor based device which computes a diver's theoretical decompression status, in real time, by using pressure (depth) and time as input to a decompression model, or set of decompression tables, programmed into the device.

Dive Location - A surface or vessel from which a diving operation is conducted.

Dive Site - Physical location of a diver during a dive.

Dive Table - A profile or set of profiles of depth-time relationships for ascent rates and breathing mixtures to be followed after a specific depth-time exposure or exposures.

Diver - An individual in the water who uses apparatus, including snorkel, which supplies breathing gas at ambient pressure.

Diver-In-Training - An individual gaining experience and training in additional diving activities under the supervision of a dive team member experienced in those activities.

Diver-Carried Reserve Breathing Gas - A diver-carried independent supply of air or mixed gas (as appropriate) sufficient under standard operating conditions to allow the diver to reach the surface, or another source of breathing gas, or to be reached by another diver.

Diving Mode - A type of diving required specific equipment, procedures, and techniques, for example, snorkel, scuba, surface-supplied air, or mixed gas.

Diving Control Board (DCB) - Group of individuals who act as the official representative of the membership organization in matters concerning the scientific diving program (Section 1.24).

Diving Safety Officer (DSO) - Individual responsible for the safe conduct of the scientific diving program of the membership organization (Section 1.20).

EAD - Equivalent Air Depth (see below).

Emergency Ascent - An ascent made under emergency conditions where the diver exceeds the normal ascent rate. Enriched Air (EANx) - A name for a breathing mixture of air and oxygen when the percent of oxygen exceeds 21%. This term is considered synonymous with the term "nitrox" (Section 7.00).

Equivalent Air Depth (EAD) - Depth at which air will have the same nitrogen partial pressure as the nitrox mixture being used. This number, expressed in units of feet seawater or saltwater, will always be less than the actual depth for any enriched air mixture.

 fN_2 - Fraction of nitrogen in a gas mixture, expressed as either a decimal or percentage, by volume.

 fO_2 - Fraction of oxygen in a gas mixture, expressed as either a decimal or percentage, by volume.

FFW – Feet or freshwater, or equivalent static head.

FSW - Feet of seawater, or equivalent static head.

Hookah - While similar to Surface Supplied in that the breathing gas is supplied from the surface by means of a pressurized hose, the supply hose does not require a strength member, pneumofathometer hose, or communication line. Hookah equipment may be as simple as a long hose attached to a standard scuba cylinder supplying a standard scuba second stage. The diver is responsible for the monitoring his/her own depth, time, and diving profile.

Hyperbaric Chamber - See decompression chamber.

Hyperbaric Conditions - Pressure conditions in excess of normal atmospheric pressure at the dive location.

Lead Diver - Certified scientific diver with experience and training to conduct the diving operation.

Maximum Working Pressure - Maximum pressure to which a pressure vessel may be exposed under standard operating conditions.

Organizational Member - An organization which is a current member of the AAUS, and which has a program, which adheres to the standards of the AAUS as, set forth in the AAUS Standards for Scientific Diving Certification and Operation of Scientific Diving Programs.

Mixed Gas - MG

Mixed-Gas Diving - A diving mode in which the diver is supplied in the water with a breathing gas other than air.

MOD - Maximum Operating Depth, usually determined as the depth at which the pO₂ for a given gas mixture reaches a predetermined maximum.

MSW - Meters of seawater or equivalent static head.

Nitrox - Any gas mixture comprised predominately of nitrogen and oxygen, most frequently containing between 21% and 40% oxygen. Also be referred to as Enriched Air Nitrox, abbreviated EAN.

NOAA Diving Manual - Refers to the NOAA Diving Manual, Diving for Science and Technology, 2001 edition.

National Oceanic and Atmospheric Administration, Office of Undersea Research, US Department of Commerce.

No-Decompression limits - Depth-time limits of the "no-decompression limits and repetitive dive group designations table for no-decompression air dives" of the U.S. Navy Diving Manual or equivalent limits.

Normal Ascent - An ascent made with an adequate air supply at a rate of 60 feet per minute or less.

Oxygen Clean - All combustible contaminants have been removed.

Oxygen Compatible - A gas delivery system that has components (o-rings, valve seats, diaphragms, etc.) that are compatible with oxygen at a stated pressure and temperature.

Oxygen Service - A gas delivery system that is both oxygen clean and oxygen compatible.

Oxygen Toxicity Unit - OTU

Oxygen Toxicity - Any adverse reaction of the central nervous system ("acute" or "CNS" oxygen toxicity) or lungs ("chronic", "whole-body", or "pulmonary" oxygen toxicity) brought on by exposure to an increased (above atmospheric levels) partial pressure of oxygen.

Pressure-Related Injury - An injury resulting from pressure disequilibrium within the body as the result of hyperbaric exposure. Examples include: decompression sickness, pneumothorax, mediastinal emphysema, air embolism, subcutaneous emphysema, or ruptured eardrum.

Pressure Vessel - See cylinder.

 pN_2 - Inspired partial pressure of nitrogen, usually expressed in units of atmospheres absolute.

 pO_2 - Inspired partial pressure of oxygen, usually expressed in units of atmospheres absolute.

Psi - Unit of pressure, "pounds per square inch.

Psig - Unit of pressure, "pounds per square inch gauge.

Recompression Chamber - see decompression chamber.

Scientific Diving - Scientific diving is defined (29CFR1910.402) as diving performed solely as a necessary part of a scientific, research, or educational activity by employees whose sole purpose for diving is to perform scientific research tasks.

Scuba Diving - A diving mode independent of surface supply in which the diver uses open circuit self-contained underwater breathing apparatus.

Standby Diver - A diver at the dive location capable of rendering assistance to a diver in the water.

Surface Supplied Diving - Surface Supplied: Dives where the breathing gas is supplied from the surface by means of a pressurized umbilical hose. The umbilical generally consists of a gas supply hose, strength member, pneumofathometer hose, and communication line. The umbilical supplies a helmet or full-face mask. The diver may rely on the tender at the surface to keep up with the divers' depth, time and diving profile.

Swimming Ascent - An ascent, which can be done under normal or emergency conditions accomplished by simply swimming to the surface.

Umbilical - Composite hose bundle between a dive location and a diver or bell, or between a diver and a bell, which supplies a diver or bell with breathing gas, communications, power, or heat, as appropriate to the diving mode or conditions, and includes a safety line between the diver and the dive location.

Working Pressure - Normal pressure at which the system is designed to operate.



(Print)

California State Universities - Ocean Studies Institute AAUS Scientific Diving

APPENDIX 6 - Request for Diving Reciprocity Form Verification of Diver Training and Experience



Diver:	Date:
This letter serves to verify that the above listed student has and has completed all requirements necessary to be certified California State University Diving Safety Manual, and has California State University is an AAUS OM and meets or	d as a Scientific Diver as established by the s demonstrated competency in the indicated areas.
The following is a brief summary of this diver's personnel fi	ile regarding dive status at California State University
Original diving authorization:	
Written scientific diving examination:	
Last diving medical examination:	Medical examination exp.:
Most recent checkout dive:	
BC Service Expiration (scientific):	
Regulator Service Expiration (scientific):	_
CPR training (Agency):	CPR Exp.:
Oxygen administration (Agency):	O2 Exp.:
First aid for diving (Agency):	F.A. Exp.:
Date of last dive : Depth:	
Number of dives completed within previous 12 months:	Depth Certification:
Total number of career dives:	
Any restrictions? (Y/N) if yes, explain in the General C	omments
Please indicate any pertinent specialty certifications or train	ing:
Emergency Information:	D 1 (* 1)
Name:	Relationship:
Best Phone #:	Alternative Phone#:
Address:	
General Comments:	
This is to verify that the above individual is currently a cert. Diving Safety Officer:	ified scientific diver at California State University
(Signature) (Dat	re) 73



California State Universities - Ocean Studies Institute AAUS Scientific Diving

APPENDIX 7 - Diving Emergency Management Procedures



Introduction

A diving accident victim could be any person who has been breathing air underwater regardless of depth. It is essential that emergency procedures are pre-planned and that medical treatment is initiated as soon as possible. It is the responsibility of each AAUS organizational member to develop procedures for diving emergencies including evacuation and medical treatment for each dive location.

General Procedures

Depending on and according to the nature of the diving accident:

- 1. Make appropriate contact with victim or rescue as required.
- 2. Establish (A)irway, (B)reathing, (C)irculation as required.
- 3. Stabilize the victim
- 3. Administer 100% oxygen, if appropriate (in cases of Decompression Illness, or Near Drowning).
- 4. Call local Emergency Medical System (EMS) for transport to nearest medical treatment facility. Explain the circumstances of the dive incident to the evacuation teams, medics and physicians. Do not assume that they understand why 100% oxygen may be required for the diving accident victim or that recompression treatment may be necessary.
- 5. Call appropriate Diving Accident Coordinator for contact with diving physician and decompression chamber. etc.
- 6. Notify DSO or designee according to the Emergency Action Plan of the organizational member.
- 7. Complete and submit Incident Report Form (www.aaus.org) to the DCB of the organization and the AAUS (Section 2.70 Required Incident Reporting).

List of Emergency Contact Numbers Appropriate For Dive Location									

Available Procedures

- Emergency care
- Recompression
- Evacuation

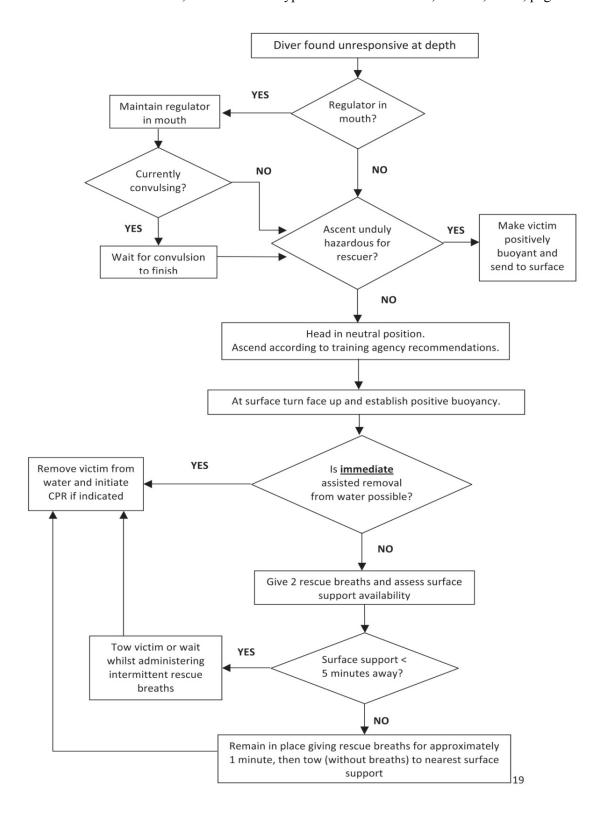
Emergency Plan Content

- Name, telephone number, and relationship of person to be contacted for each diver in the event of an
 emergency.
- Nearest operational decompression chamber.
- Nearest accessible hospital.
- Available means of transport.

Appendix 8

Recommendations For Rescue Of A Submerged Unresponsive Compressed-Gas Diver

From: S.J. Mitchell et al., Undersea and Hyperbaric Medicine 2012, Vol. 39, No. 6, pages 1099-1108



Appendix 9 AAUS Diving Injury/Incident Report Form

Required Incident Reporting: All diving incidents requiring recompression treatment, or resulting in moderate or serious injury, or death shall be reported to the AAUS Statistics Committee. The report will specify the circumstances of the incident and the extent of any injuries or illnesses. This form is confidential and for statistics purposes only. The Organizational Member's Diving Control Board must review and release this report before it is submitted to the AAUS Statistics Committee.

Check the appropriate space(s) and complete the form:

Simple Illness	Referred	-	Serious Injury								
Barotrauma	Hyperba	ic Treatment	-	Near Drowning							
Hyperoxic	Hypercap	nea	-	Fatality							
Other (describe)	Other (describe)										
Worker's Compensation Cla	im Yes No	Date of Incident:									
			Month	Day	Year						
Narrative Report: please describe the circumstances, treatment and recommendations, below. (use additional Sheets if necessary)											
Circumstances and the extent of the injuries or illness:											
Treatment provided and results:											
Recommendations to avoid repetition	of incident:										
Organizational Member Name											
Name & Title of Person Submitting Re	eport:										
		(please print)									
Signature		Date	 Month		– ———— Year						
				•							
Mailing Address											
Telephone											

APPENDIX 10 AAUS STATISTICS COLLECTION CRITERIA AND DEFINITIONS

COLLECTION CRITERIA:

The "Dive Time in Minutes", The Number of Dives Logged", and the "Number of Divers Logging Dives" will be collected for the following categories.

- Dive Classification
- Breathing Gas
- Diving Mode
- Decompression Planning and Calculation Method
- Depth Ranges
- Specialized Environments
- Incident Types

Dive Time in Minutes is defined as the surface to surface time including any safety or required decompression stops.

A Dive is defined as a descent into water, an underwater diving activity utilizing compressed gas, an ascent/return to the surface, and a surface interval of greater than 10 minutes.

Dives will not be differentiated as openwater or confined water dives. But openwater and confined water dives will be logged and submitted for AAUS statistics classified as either scientific or training/proficiency.

A "Diver Logging a Dive" is defined as a person who is diving under the auspices of your scientific diving organization. Dives logged by divers from another AAUS Organization will be reported with the divers home organization. Only a diver who has actually logged a dive during the reporting period is counted under this category.

Incident(s) occurring during the collection cycle. Only incidents occurring during, or resulting from, a dive where the diver is breathing a compressed gas will be submitted to AAUS.

DEFINITIONS:

Dive Classification:

- Scientific Dives: Dives that meet the scientific diving exemption as defined in 29 CFR 1910.402.
 Diving tasks traditionally associated with a specific scientific discipline are considered a scientific
 dive. Construction and trouble-shooting tasks traditionally associated with commercial diving are
 not considered a scientific dive.
- Training and Proficiency Dives: Dives performed as part of a scientific diver training program, or dives performed in maintenance of a scientific diving certification/authorization.

Breathing Gas:

- Air: Dives where the bottom gas used for the dive is air.
- Nitrox: Dives where the bottom gas used for the dive is a combination of nitrogen and oxygen other than air.
- Mixed Gas: Dives where the bottom gas used for the dive is a combination of oxygen, nitrogen, and helium (or other "exotic" gas), or any other breathing gas combination not classified as air or nitrox.

Diving Mode:

- Open Circuit Scuba: Dives where the breathing gas is inhaled from a self contained underwater breathing apparatus and all of the exhaled gas leaves the breathing loop.
- Surface Supplied: Dives where the breathing gas is supplied from the surface by means of a pressurized umbilical hose. The umbilical generally consists of a gas supply hose, strength member, pneumofathometer hose, and communication line. The umbilical supplies a helmet or full-face mask. The diver may rely on the tender at the surface to keep up with the divers' depth, time and diving profile.
- Hookah: While similar to Surface Supplied in that the breathing gas is supplied from the surface by means of a pressurized hose, the supply hose does not require a strength member, pneumofathometer hose, or communication line. Hookah equipment may be as simple as a long hose attached to a standard scuba cylinder supplying a standard scuba second stage. The diver is responsible for the monitoring his/her own depth, time, and diving profile.
- Rebreathers: Dives where the breathing gas is repeatedly recycled in the breathing loop. The breathing loop may be fully closed or semi-closed. Note: A rebreather dive ending in an open circuit bailout is still logged as a rebreather dive.

Decompression Planning and Calculation Method:

- Dive Tables
- Dive Computer
- PC Based Decompression Software

Depth Ranges:

Depth ranges for sorting logged dives are 0-30, 31-60, 61-100, 101-130, 131-150, 151-190, and 191->. Depths are in feet seawater. A dive is logged to the maximum depth reached during the dive. Note: Only "The Number of Dives Logged" and "The Number of Divers Logging Dives" will be collected for this category.

Specialized Environments:

- Required Decompression: Any dive where the diver exceeds the no-decompression limit of the decompression planning method being employed.
- Overhead Environments: Any dive where the diver does not have direct access to the surface due to a physical obstruction.
- Blue Water Diving: Openwater diving where the bottom is generally greater than 200 feet deep and requiring the use of multiple-tethered diving techniques.
- Ice and Polar Diving: Any dive conducted under ice or in polar conditions. Note: An Ice Dive would also be classified as an Overhead Environment dive.

- Saturation Diving: Excursion dives conducted as part of a saturation mission are to be logged by "classification", "mode", "gas", etc. The "surface" for these excursions is defined as leaving and surfacing within the Habitat. Time spent within the Habitat or chamber shall not be logged by AAUS.
- Aquarium: An aquarium is a shallow, confined body of water, which is operated by or under the control of an institution and is used for the purposes of specimen exhibit, education, husbandry, or research. (Not a swimming pool)

Incident Types:

- Hyperbaric: Decompression Sickness, AGE, or other barotrauma requiring recompression therapy.
- Barotrauma: Barotrauma requiring medical attention from a physician or medical facility, but not requiring recompression therapy.
- Injury: Any non-barotrauma injury occurring during a dive that requires medical attention from a physician or medical facility.
- Illness: Any illness requiring medical attention that can be attributed to diving.
- Near Drowning/ Hypoxia: An incident where a person asphyxiates to the minimum point of unconsciousness during a dive involving a compressed gas. But the person recovers.
- Hyperoxic/Oxygen Toxicity: An incident that can be attributed to the diver being exposed to too high a partial pressure of oxygen.
- Hypercapnea: An incident that can be attributed to the diver being exposed to an excess of carbon dioxide.
- Fatality: Any death accruing during a dive or resulting from the diving exposure.
- Other: An incident that does not fit one of the listed incident types

<u>Incident Classification Rating Scale</u>:

- Minor: Injuries that the OM considers being minor in nature. Examples of this classification of incident would include, but not be limited to:
 - Mask squeeze that produced discoloration of the eyes.
 - Lacerations requiring medical attention but not involving moderate or severe bleeding.
 - Other injuries that would not be expected to produce long term adverse effects on the diver's health or diving status.
- Moderate: Injuries that the OM considers being moderate in nature. Examples of this classification would include, but not be limited to:
 - DCS symptoms that resolved with the administration of oxygen, hyperbaric treatment given as a precaution.
 - DCS symptoms resolved with the first hyperbaric treatment.
 - Broken bones.
 - Torn ligaments or cartilage.
 - Concussion.
 - Ear barotrauma requiring surgical repair.
- Serious: Injuries that the OM considers being serious in nature. Examples of this classification would include, but not be limited to:
 - Arterial Gas Embolism.

- DCS symptoms requiring multiple hyperbaric treatment.
 Near drowning.
 Oxygen Toxicity.
 Hypercapnea.
 Spinal injuries.
 Heart attack.
 Fatality.

APPENDIX 11 CSU/OSI ANNUAL EQUIPMENT SERVICE RECORD







Instructions for completing CSU/OSI Annual Equipment Service Record

Completion and submission of this document is the responsibility of the individual diver.

In the first (top) section, fill out your name and phone number, make, model and serial number for each piece of equipment. Some items, (e.g. SPG, Depth Gauge) may not have a serial number – if you don't have one put "n/a" in the field. Don't leave any field empty. Do not rely on the dive shop or service technician to do this for you.

As you may be using the same set of equipment for several years, after you've filled out this basic information, keep a master copy for future use. This will save you the trouble of filling out these fields every year. Keep receipts for new dive gear and note the purchase date(s) on the form. Some manufacturers will provide free parts as long as you stick to the service schedule and keep good records. This can save you a fair amount of money over time.

The balance of the document should be filled out , <u>completely</u>, by the dive shop or service technician – again, there should be no empty fields.

In the second section, regulator testing, each column should be completed and "yes or no" under rebuild should be ticked as described below – a sample of each is attached.

Test Only: Each column should be completed and "yes or no" under rebuild should be ticked.

Manufacturer Required Service: The first column may be crossed out - there's no need for incoming testing if service/rebuild is required per the manufacturers specifications. The rest of the columns should be completed and "yes" under rebuild should be ticked.

New Equipment per Manufacturer's specifications: If no testing is required by the manufacturer, "new" notated under incoming column and "per mfg" notated under outgoing.

In the third section, all of the appropriate boxes should be ticked off (see samples).

Forms that are incomplete, or filled out incorrectly, will be rejected – save yourself return trips to the dive shop by reviewing the form before you leave.

It's recommended that you provide a copy of these instructions to the shop/technician. If they have additional questions, they can contact the Dive Office using the information provided below.

Darrell Montague
Dive and Boat Safety Officer
California State Universities, Ocean Studies Institute
Southern California Marine Institute
820 S. Seaside Ave
Terminal Island CA 90731
626-399-3308
Darrell.Montague@csulb.edu
www.scmi.net

SAMPLE FORM - TEST ONLY

CAN STU	AMERICAN ACADEMY OF UNDERWATER SCIENCES REQUIRED ANNUAL EQUIPMENT SERVICE RECORD *												
OCEAN STUDIES	DIVER NAME:	Jane Doris				PHONE #: 202-555-0197							
	SHOP NAME: Scuba Ba Do Ba Do					PHONE #: 202-555-0173							
18	SHOP WEB:	scubabadobado.	com/			SHOP EMAIL:	202-555-0146						
	TECH NAME: Dan Aqua Man s						SERVICE DATE: 13/13/2013						
NSTITUTE		MAKE			MODEL	T T							
REGULATOR 1ST STAGE	Aqualung			Titan			D0484890)					
REGULATOR 2ND STAGE	Aqualung			Titan	l		D0484890						
OCTOPUS	Aqualung			ABS			D0354840						
BCD	Aqualung			Pro I	T		BB397617	70					
SPG	Suunto			4000	psi		B29						
DEPTH GAUGE	N/A												
COMPUTER	Sunnto			Zoop)		33303532	1					
REGULATOR SET	INCOMING M			ANUFACT	URER SPECS	OUT	GOING	REBUILD					
REGULATOR 1ST STAGE	I.P. 132		I.P.	135 +/-	5psi	I.P. 140	I.P. 140		NO □				
REGULATOR 2ND STAGE	INHALE 2.8 INHALE					INHALE 1.4		YES 🗵	NO 🗆				
	EXHALE 1.9		EXHALE	0.5 - 1.2		EXHALE 0.8		YES 🖾	NO □				
	INHALE 2.0 INHALE			HALE 1.6		INHALE 1.6		YES 🗆	NO ⊠				
OCTOPUS	EXHALE 1.2		EXHALE 0.5 - 1.2		EXHALE 0.8		YES 🗆	NO 🗵					
BCD/GAUGES/HOSES		INCO	MAINIC				OUTC	COINC					
		I	MING			OUTGOING							
BCD	OPV: P ⊠ F □	DV: P⊠F□	PI: P		LT: P⊠F□	\	S D NO 🗵	REBUILD: YES □ NO ☒					
DRYSUIT	E/IV: P□F□	S: P □ F □	Z: P [□ F □	LT: P 🗆 F 🗆	REPAIR: Y	S NO	REBUILD: YES □ NO □					
SPG	PRESSURE TE	ST: P⊠F□	W	ATER TEST	T: P⊠F□	REPLACE SPOC	L: YES □ NO ☒	REPLACE O-RING	;: YES ☒ NO ☐				
DEPTH GAUGE	PRESSURE TE	ST: P 🗆 F 🗆	W	ATER TEST	Γ: P□F□	REPAIR: `	res □ NO □	REPLACE: YES □ NO □					
COMPUTER	PT: P⊠F□	WT: P⊠F□	FT: P	XIF□	BT: P⊠F□	REPLACE BATTERY: YES ☑ NO ☐		NEW COMPUTE	R: YES □ NO 🏻				
HOSES	HP LEAK TES	ST: P 🖾 F 🗆	LP	LEAK TES	T: P⊠F□	REPLACE HP:	YES □ NO 🏻	REPLACE LP: Y	'ES □ NO ☒				
BCD = Buoyancy Compensation	on Device	WT = Water Test			haust & Inlet	NOTES							
SPG = Submersible Pressure Go	auge	FT = Func			alves Test								
OPV = Over Pressure Valve	,												
DV = Dump Valve		HP = High Pressure	•	Z = Zippe									
PI = Power Inflator		P = Pass F = Fail		LT = Leak	: iest								
	I.P. = Intermediate Pressure PT = Pressure Test Questions - contact Darrell Montague, Dive Safety Officer 626.399.3308 darrell.montague@csulb.edu												
* PLEASE NOTE: OSL/A ALIS		•				I "Comstata		and in ambuma cons	am, if it fails to				

pass testing and inspection, or is required according to manufacturer's specifications. All new gear must be bench-tested prior to use

82

SAMPLE FORM - MANUFACTURER REQUIRED SERVICE

CEAN STUDI	AMERICAN ACADEMY OF UNDERWATER SCIENCES REQUIRED ANNUAL EQUIPMENT SERVICE RECORD *												
CANADO	DIVER NAME:	Jane Doris				PHONE #: 202-555-0197							
	SHOP NAME:	Scuba Ba Do Ba	a Do			PHONE #: 202-555-0173							
	SHOP WEB: scubabadobado.com/						MAIL: 20	02-555-0146					
	TECH NAME:	Dan Aqua Man				SERVICE DATE: 13/13/2013							
NSTITUTE		MAKE			MODEL	L SERIAL NUMBER							
REGULATOR 1ST STAGE	Aqualung			Titan				D0484890					
REGULATOR 2ND STAGE	Aqualung			Titan				D0484890					
OCTOPUS	Aqualung			ABS				D0354840					
BCD	Aqualung			Pro L	LT			BB397617	0				
SPG	Suunto			4000	psi			B29					
DEPTH GAUGE	N/A				•								
COMPUTER	Sunnto			Zoop)			333035321	1				
REGULATOR SET	INCOMING			ANUFACT	URER SPECS		OUTG	OING		REBU	JILD		
REGULATOR 1ST STAGE	I.P.					I.P.	140		YES	$\overline{\mathbf{X}}$	NO		
	INHALE INHALE			*		INHALE	1.4		YES	$\overline{\mathbf{X}}$	NO		
REGULATOR 2ND STAGE				EXHALE 0.5 - 1.2			EXHALE 0.8			$\overline{\mathbb{X}}$	NO		
	INHALE				****			INHALE 1.6		$\overline{\mathbb{X}}$	NO		
OCTOPUS													
	EXHALE		EXHALE	0.5 -	1.2	EXHALE 0.8			YES 🗵 NO 🗆				
BCD/GAUGES/HOSES		INCO	MING	G			OUTGOING						
BCD	OPV: P ⊠ F □	DV: P⊠F□	PI: P 🛭	X F □	LT: P⊠F□	REPAIR: YES □ NO ☒			REBUILD: YES □ NO 🏻			X	
DRYSUIT	E/IV: P□F□	S: P □ F □	Z: P 🗆] F 🗆	LT: P 🗆 F 🗆	REPAIR: YES □ NO □			REBUILD: YES □ NO □				
SPG	PRESSURE TE	ST: P⊠F□	WA	ATER TEST	f: P⊠F□	REPLACE	SPOOL:	YES □ NO 🏻	REPLACE	O-RING	: YES ⊠	NO □	
DEPTH GAUGE	PRESSURE TE	ST: P 🗆 F 🗆	WA	ATER TEST	T: P□F□	REPAIR: YES □ NO □			REPL	ACE: YI	S 🗆 NC	0 🗆	
COMPUTER	PT: P ⊠ F □	WT: P⊠F□	FT: P 🛭	IJF□	BT: P⊠F□	REPLAC	E BATTER	Y: YES 🖾 NO 🗆	NEW CO	MPUTER	R: YES 🗆	NO 🛚	
HOSES	HP LEAK TES	ST: P\S\F	LP I	LEAK TES	T: P⊠F□	REPLAC	CE HP: Y	ES □ NO 🏻	REPLACI	E LP: Y	ES 🗆 N	IO 🗵	
BCD = Buoyancy Compensation	on Device	WT = Water Test		E/IV = Ext	naust & Inlet	NOTES							
SPG = Submersible Pressure Go	auge	FT = Func		Vo	ılves Test								
OPV = Over Pressure Valve	<u> </u>			Test									
DV = Dump Valve		HP = High Pressure		Z = Zippe	r Test								
PI = Power Inflator		P = Pass F = Fail		LT = Leak	Test								
I.P. = Intermediate Pressure													
Questions - contact Darrell Ma	ontague, Dive Safet	y Otticer 626.399.33	308 darrell.	montagu	ue@csulb.edu								
* PLEASE NOTE: OSI/AAIIS	Standards only re	auire annual "te	stina and	inenacti	on" of dive equir	ment "Se	arvicina"	of dive equipme	nt is only r	22222	ury if it fo	ils to	

^{*} PLEASE NOTE: OSI/AAUS Standards only require annual "testing and inspection" of dive equipment. "Servicing" of dive equipment is only necessary if it fails to pass testing and inspection, or is required according to manufacturer's specifications. All new gear must be bench-tested prior to use

SAMPLE FORM - NEW EQUIPMENT

NSTE		AMERICAN ACA	ADEMY OF	UNDERWA	ATER SCIENCES	REQUIRED AN	NUAL EQUIPM	ENT SER	RVICE REC	ORD *		
OCEAN STUDIES	DIVER NAME:	Jane Doris				PHONE #: 202-555-0197						
	SHOP NAME: Scuba Ba Do Ba Do					PHONE #: 202-555-0173						
	SHOP WEB:	scubabadobado.	com/			SHOP EMAIL	: 202-555-01	46				
	TECH NAME: Dan Aqua Man					SERVICE DATE: 13/13/2013						
NSTITUTE		MAKE			MODEL							
REGULATOR 1ST STAGE	Aqualung	Titan			D04	84890						
REGULATOR 2ND STAGE	Aqualung			Titan			D04	84890				
OCTOPUS	Aqualung			ABS				54840				
BCD	Aqualung			Pro LT			BB3	97617	0			
SPG	Suunto			4000ps			B29					
DEPTH GAUGE	N/A			1								
COMPUTER	Sunnto			Zoop			3330	035321	1			
			I	•		1		00002				
REGULATOR SET	INCO			MANUFACTURER SPECS			DUTGOING			REBI		
REGULATOR 1ST STAGE	I.P. N	EW	I.P.			I.P.	PER MFG.		YES		NO	X
REGULATOR 2ND STAGE	INHALE NEW INHALE			INHA		INHALE	PER MFG.		YES		NO	X
REGULATOR 2ND STAGE	EXHALE N	EW	EXHALE			EXHALE	PER MFG.		YES		NO	X
	INHALE N	EW	INHALE			INHALE	PER MFG.		YES		NO	X
OCTOPUS	EXHALE N	EXHALE		EXHALE	PER MFG.		YES		NO	X		
	1											
BCD/GAUGES/HOSES		INCO	MING			OUTGOING						
BCD	OPV: P⊠F□	DV: P⊠F□	PI: P 🛛	I F □	LT: P 🖾 F 🗆	REPAIR: YES □ NO ☒			REBUILD: YES □ NO 🏻			
DRYSUIT	E/IV: P□F□	S: P □ F □	Z: P □] F 🗆	LT: P 🗆 F 🗆	REPAIR: YES □ NO □			REBUILD: YES □ NO □			
SPG	PRESSURE TE	ST: P⊠F□	WA	WATER TEST: P ⋈ F □ REPLACE SPOO			OOL: YES 🗆 N	DL: YES D NO X REPLACE O-RING: YES X			NO □	
DEPTH GAUGE	PRESSURE TE	ST: P 🗆 F 🗆	WA	TER TEST:	P 🗆 F 🗆	REPAIR: YES □ NO □			REPLACE: YES □ NO □			
COMPUTER	PT: P ⊠ F □	WT: P⊠F□	FT: P 🛭	3 F 🗆	BT: P⊠F□	REPLACE BA	TTERY: YES 🗆	NO 🗵	NEW CO	MPUTE	R: YES 🖾	NO □
HOSES	HP LEAK TES	ST: P 🖾 F 🗆	LP LI	EAK TEST:	P⊠F□	REPLACE H	P: YES 🗆 NO	D ⊠	REPLACI	E LP: Y	ES 🗆 N	O 🖾
BCD = Buoyancy Compensation	on Dovico	WT = Water Test	F	E/IV = Exhai	ust & Inlet	NOTES						
SPG = Submersible Pressure Go		FT = Func	_		es Test		11	1.		•		
· · · · · · · · · · · · · · · · · · ·			S = Seals Te	st	Lucky you - all new dive gear!							
DV = Dump Valve	HP = High Pressure $Z = Zipper Test$			est								
PI = Power Inflator		P = Pass F = Fail		_T = Leak Te								
I.P. = Intermediate Pressure												
Questions - contact Darrell Mo	ontague, Dive Safet	y Officer 626.399.33	308 darrell.n	montague@	@csulb.edu	<u> </u>						
* PLEASE NOTE: OSI/A ALIS	Standards only re	auiro appual "to	otina and i	inana atian	" of divo oquin	mont "Sorvice	sing" of dive or		ntic only n	200000	un, if it fai	ile to

^{*} PLEASE NOTE: OSI/AAUS Standards only require annual "testing and inspection" of dive equipment. "Servicing" of dive equipment is only necessary if it fails to pass testing and inspection, or is required according to manufacturer's specifications. All new gear must be bench-tested prior to use

CAN STU		AMERICAN AC	ADEMY OF	UNDER	WATER SCIENCES	REQUIRED ANNUA	AL EQUIPMENT SEI	RVICE REC	ORD *		
OCEAN STUDIES	DIVER NAME:					PHONE #:					
	SHOP NAME:		PHONE #:								
	SHOP WEB:		SHOP EMAIL:								
	TECH NAME:					SERVICE DATE:					
NSTITUTE	MAKE					EL SERIAL NUMBER					
REGULATOR 1ST STAGE											
REGULATOR 2ND STAGE											
OCTOPUS											
BCD											
SPG											
DEPTH GAUGE											
COMPUTER											
REGULATOR SET	INCO	MANUFACTURER SPECS			OUTG	OING	REBUILD				
REGULATOR 1ST STAGE	I.P.	I.P.			I.P.		YES		NO		
DEC. A TO D ON D OT A OF	INHALE		INHALE	IALE		INHALE		YES		NO	
REGULATOR 2ND STAGE	EXHALE		EXHALE			EXHALE	YES		NO		
OCTORUS	INHALE		INHALE			INHALE	YES		NO		
OCTOPUS	EXHALE		EXHALE			EXHALE	YES		NO		
BCD/GAUGES/HOSES		INCO	MING			OUTGOING					
BCD	OPV: P 🗆 F 🗆	DV: P□F□	PI: P □	I F 🗆	LT: P 🗆 F 🗆	REPAIR: YES	REBUILD: YES □ NO □				
DRYSUIT	E/IV: P 🗆 F 🗆	S: P □ F □	Z: P □	F 🗆	LT: P 🗆 F 🗆	REPAIR: YES	□ NO □	REBUI	ILD: YE	S NC	
SPG	PRESSURE TE	ST: P 🗆 F 🗆	WA.	TER TEST	[: P □ F □	REPLACE SPOOL:	YES 🗆 NO 🗆	REPLACE O-RING: YES □ NO □			NO 🗆
DEPTH GAUGE	PRESSURE TE	ST: P 🗆 F 🗆	WATER TEST: P□F□		REPAIR: YE	REPLACE: YES □ NO □			,		
COMPUTER	PT: P □ F □	WT: P□F□	FT: P □] F 🗆	BT: P □ F □	REPLACE BATTER	Y: YES 🗆 NO 🗆	NEW C	OMPUTE	R: YES □	NO □
HOSES	HP LEAK TES	ST: P 🗆 F 🗆	LP LI	EAK TES	T: P 🗆 F 🗆	REPLACE HP: \	'ES 🗆 NO 🗆	REPLAC	E LP: Y	ES 🗆 N	10 🗆
BCD = Buoyancy Compensation Device SPG = Submersible Pressure Gauge OPV = Over Pressure Valve DV = Dump Valve PI = Power Inflator I.P. = Intermediate Pressure		WT = Water Test FT = Func BT = Battery Test HP = High Pressure P = Pass F = Fail PT = Pressure Test	E/IV = Exhaust & Inlet Valves Test S = Seals Test E = Zipper Test LT = Leak Test			NOTES					
Questions - contact Darrell Mo	ontague, Dive Safet	y Officer 626.399.3	308 darrell.n	nontagu	ue@csulb.edu						

^{*} PLEASE NOTE: OSI/AAUS Standards only require annual "testing and inspection" of dive equipment. "Servicing" of dive equipment is only necessary if it fails to pass testing and inspection, or is required according to manufacturer's specifications. All new gear must be bench-tested prior to use

LOCAL GEAR SERVICE PROVIDERS

For your convenience, listed below are shops that can provide a full range of services to completely satisfy the record-keeping requirements. If the work is outsourced, it's noted in parentheses. Make sure you contact the shops ahead of time to confirm services, fees and turnaround times.

Scubatude Santa Clarita

Aquanautics Sylmar (outsourced)

Malibu Divers Malibu (Malibu Scuba Repair)

Hollywood Divers (outsourced to Malibu Scuba Repair)

Pacific Wilderness San Pedro

New England Divers Long Beach

Deep Blue Scuba Long Beach

Ocean Gear Huntington Beach

Beach Cities Scuba (Cypress & Dana Point locations)

Dive and Photo Costa Mesa (outsourced)

Ocean Enterprises San Diego